

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**
**FORM A** Page 1 of 7  
 For use by Members, officers, and employees

2011 MAY 12 AM 11:59

U.S. HOUSE OF REPRESENTATIVES

G K Butterfield

252-237-9816

(Full Name)

(Daytime Telephone)

(Office Use Only) *MC*
**Filer Status**
☒ Member of the U.S. House of Representatives  
 State: NC  
 District: 01

☐ Officer Or Employee

Employing Office:

**Report Type**
☒ Annual (May 15)
 ☐ Amendment
 ☐ Termination

Termination Date:

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**
**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>I.</b> Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I.	<b>VI.</b> Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.
<b>II.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.	<b>VII.</b> Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII.
<b>III.</b> Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.	<b>VIII.</b> Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII.
<b>IV.</b> Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IV.	<b>IX.</b> Did you have any reportable agreement or arrangement with an outside entity? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IX.
<b>V.</b> Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule V.	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>Trusts--</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
State of North Carolina	Retirement	\$55,331.04

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name G K Butterfield

Page 3 of 7

<b>BLOCK A</b>  <b>Asset and/or Income Source</b> Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or	<b>BLOCK B</b>  <b>Year-End Value of Asset</b>  at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	<b>BLOCK C</b>  <b>Type of Income</b>  Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	<b>BLOCK D</b>  <b>Amount of Income</b>  For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	<b>BLOCK E</b>  <b>Transaction</b>  Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
BB&T, Wilson, NC	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
BB&T, Wilson, NC	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
BB&T, Wilson, NC	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
BB&T, Wilson, NC	\$1 - \$1,000	INTEREST	\$1 - \$200	
Congressional Federal Credit Union, Washington, DC	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Note Receivable, Carrons Funeral Home, Wilson, NC	\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name G K Butterfield

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	Note Receivable, JSL Development Center, Wilson, NC	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Real Estate Partnership- Butterfield & Connie, Wilson, NC	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
	Real Estate Partnership- Butterfield & Mills, Wilson, NC	\$100,001 - \$250,000	RENT	\$2,501 - \$5,000	
	Real Estate-Individual, Wilson, NC	\$1,000,001 - \$5,000,000	RENT	\$15,001 - \$50,000	
	State Employees Credit Union	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

# SCHEDULE V - LIABILITIES

Name G K Butterfield

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	BB&T Bank, Wilson, NC	12/11/2006	Mortgage on 528,536,548,542 Nash St (Nash Plaza)	\$250,001 - \$500,000
	Cornerstone Bank, Wilson, NC	04/15/2003	Mortgage on 1614 MLK Pkwy, Wilson, NC	\$10,001 - \$15,000
	BB&T Bank, Wilson, NC	12/20/2010	Mortgage on 5314 Ward Blvd, Wilson, NC	\$50,001 - \$100,000
	Cornerstone Bank, Wilson, NC	09/19/2003	Mortgage on 1702-04 MLK Pkwy, Wilson, NC	\$15,001 - \$50,000
	BB&T Bank, Wilson, NC	01/28/2004	Mortgage on 211-213 Pender St, Wilson, NC	\$15,001 - \$50,000
	BB&T Bank, Wilson, NC	12/11/1999	Mortgage on 109 Wayfarer Ct, Rocky Mount, NC	\$15,001 - \$50,000
	Heritage Bank, Wilson, NC	09/16/2005	Mortgage on 106 Pender St (Barnes Corner), Wilson, NC	\$50,001 - \$100,000
	Cornerstone Bank, Wilson, NC	10/26/2009	Mortgage on 608 Nash St, (Darden Corner), Wilson, NC	\$15,001 - \$50,000
	BB&T Bank, Wilson, NC	12/22/2006	Other Liabilities	\$50,001 - \$100,000
	First Citizens Bank, Wilson, NC	10/21/1999	Mortgage on 800 Hines St, Wilson, NC	\$50,001 - \$100,000
	BB&T Bank, Wilson, NC	12/20/2010	Mortgage on 1001 Vance St, Wilson, NC	\$50,001 - \$100,000

## SCHEDULE VIII - POSITIONS

Name G K Butterfield

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political activities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Congressional Black Caucus Foundation, Inc. (uncompensated)

## SCHEDULE IX - AGREEMENTS

Name G K Butterfield

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
7/1/2009	G.K. Butterfield & State of North Carolina	Receive \$4,610.92 monthly from 7/1/2009 until death.

## REAL ESTATE-INDIVIDUAL

DESCRIPTION	VALUE	GROSS ANNUAL RENT RECEIVED	OWNERSHIP INTEREST
Nash Plaza	\$ 458,749	\$ 14,379	100%
Barnes Corner	\$ 240,000	\$ 13,029	100%
1614 MLK Pkwy	\$ 29,000	\$ -	100%
1702 MLK Pkwy	\$ 70,000	\$ 5,086	100%
S314 Ward Blvd	\$ 70,000	\$ 6,000	100%
1704 MLK Pkwy	\$ 15,000	\$ -	100%
800 Hines St	\$ 151,201	\$ 7,080	100%
1001 Vance St	\$ 160,000	\$ 1,000	100%
<b>TOTAL</b>	<b>\$ 1,193,950</b>	<b>\$ 46,574</b>	

## REAL ESTATE-PARTNERSHIPS

DESCRIPTION	VALUE	GROSS ANNUAL RENT RECEIVED	OWNERSHIP INTEREST
211-213 Pender St (Connie)	\$ 355,685	\$ 39,000	50%
109 Wayfarer Ct (Connie)	\$ 350,000	\$ 39,000	50%
137 Wayfarer Ct (Connie)	\$ 30,000	\$ -	50%
<b>TOTAL</b>	<b>\$ 735,685</b>	<b>\$ 78,000</b>	

DESCRIPTION	VALUE	GROSS ANNUAL RENT RECEIVED	OWNERSHIP INTEREST
Darden Corner (Mills)	\$ 240,000	\$ 7,200	50%
<b>TOTAL</b>	<b>\$ 240,000</b>	<b>\$ 7,200</b>	



UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

Form A  
For use by Members, officers, and employees

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2011 MAY 10 PM 12:08

U.S. HOUSE OF REPRESENTATIVES

Name: **WALTER BEAMAN JONES**

Daytime Telephone: **202-225-3415**

**HAND DELIVERED**

(Office Use Only)

Filer Status



Member of the U.S.  
House of Representatives

State: **NC**  
District: **03**



Officer or  
Employee

Employing Office:

Report Type



Annual (May 16, 2011)



Amendment



Termination

Termination Date:

**A \$200 penalty shall be assessed  
against anyone who files more than  
30 days late.**

**PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?  
If yes, complete and attach Schedule I.

Yes

☐

No

☒

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?  
If yes, complete and attach Schedule VI.

Yes

☐

No

☒

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?  
If yes, complete and attach Schedule II.

Yes

☐

No

☒

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?  
If yes, complete and attach Schedule VII.

Yes

☐

No

☒

III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?  
If yes, complete and attach Schedule III.

Yes

☒

No

☐

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?  
If yes, complete and attach Schedule VIII.

Yes

☒

No

☐

IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?  
If yes, complete and attach Schedule IV.

Yes

☐

No

☐

IX. Did you have any reportable agreement or arrangement with an outside entity?  
If yes, complete and attach Schedule IX.

Yes

☐

No

☒

V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?  
If yes, complete and attach Schedule V.

Yes

☐

No

☒

**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS**

**TRUSTS**—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes

☐

No

☒

**EXEMPTION**—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes

☐

No

☒

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name **WALTER B. JONES**

Page **2** of **4**

BLOCK A Asset and/or Income Source			BLOCK B Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p><b>Exclude:</b> Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>			<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p>												<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. <b>Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.</b> Check "None" if the asset generated no income during the reporting period.</p>						<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. <b>Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.</b> Check "None" if no income was earned or generated.</p>											<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> <p>If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.</p>
			A	B	C	D	E	F	G	H	I	J	K	L	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI
SP, DC, JT	Examples:	SP Mega Corp. Stock			X										X		X		Royalties				X						X			S (partial)
		Simon & Schuster	Indefinite																													
		1st Bank of Paducah, KY Accounts			X																				X							
JT		BBIT CORP STOCK				X									X									X								
JT		NUCOR CORP STOCK		X											X								X									
JT		SolarBancorp CORP STOCK	X												X					X												
JT		Bio-Key CORP STOCK	X												X					X												
JT		MEDCO HEALTH SERVICES ST.		X											X					X												
JT		UNIVERSITY TITLE STOCK			X										X								X									

For additional assets and unearned income, use next page.

## Continuation Sheet (if needed)

Nama **WALTER B. JONES**

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**This page may be copied if more space is required.**

## SCHEDULE VIII—POSITIONS

Name **WALTER B. JONES**

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
BOARD OF DIRECTORS	EAST CAROLINA VOCATIONAL CENTER

## SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 14  
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

MAY 13 PM 12:51

David E. Price  
(Full Name)

202.225.1784  
(Daytime Telephone)

U.S. HOUSE OF REPRESENTATIVES  
**HAND DELIVERED**

(Office Use Only)

Filer  
Status

☒ Member of the U.S.  
House of Representatives State: NC  
District: 04

☐ Officer Or  
Employee

Employing Office:

Report  
Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall  
be assessed against  
anyone who files  
more than 30 days  
late.

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>Trusts-</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE I - EARNED INCOME

Name David E. Price

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
North Carolina Local Government Employees Retirement System	Spouse Pension	N/A

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name David E. Price

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
<b>Asset and/or Income Source</b> Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or		<b>Year-End Value of Asset</b>  at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	<b>Type of Income</b>  Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	<b>Amount of Income</b>  For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	<b>Transaction</b>  Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
	Westview Press	None	Royalties	\$1,001 - \$2,500	
JT	Metropolitan Life Insurance Policy	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Northwest Mutual Life Insurance Policy	\$15,001 - \$50,000	DISTRIBUTION	\$201 - \$1,000	
SP	Premium of America LLC Member Shares	\$15,001 - \$50,000	DISTRIBUTION	\$1 - \$200	
JT	Smith Barney Bank Deposit Program	\$50,001 - \$100,000	INTEREST	\$1 - \$200	
JT	SunTrust Bank Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name David E. Price

Page 4 of 14

JT	TIAA/CREF Retirement Plan - see next four lines	\$1,000,001 - \$5,000,000	Retirement Plan	NONE	
JT	TIAA/CREF Retirement Plan - CREF Bond Market Fund	\$100,001 - \$250,000	Retirement Plan	NONE	
JT	TIAA/CREF Retirement Plan - CREF Stock Fund	\$500,001 - \$1,000,000	Retirement Plan	NONE	
JT	TIAA/CREF Retirement Plan - TIAA Real Estate Fund	\$50,001 - \$100,000	Retirement Plan	NONE	
JT	TIAA/CREF Retirement Plan - TIAA Traditional Fund	\$100,001 - \$250,000	Retirement Plan	NONE	
JT	General Motors Acceptance Corp. Bonds	None	INTEREST	\$1 - \$200	S
JT	Hospital Bonds - Charlotte - Mecklenburg	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
JT	Storm Water Bonds - Charlotte, NC	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	Duke University - Capital Facilities Bonds	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
JT	Municipal Bonds - Winston - Salem, NC	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	Municipal Bonds - High Point, NC	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	Municipal Bonds - Mooresville, NC	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	NC Community Health Systems Bonds - St. Joseph	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	NC Health Care Facilities Bonds	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	P (part)



**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name David E. Price

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JT	NC Health Facilities Bonds - WakeMed	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
JT	NC Hospital Bonds - Baptist Hospital	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	P
JT	NC Hospital Bonds - Johnston County	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	NC Hospital Bonds - Wake County	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	RDU Airport Authority Bonds	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	ABB Ltd Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Air Products & Chemicals Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Alcoa Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Amgen Stock	\$1,001 - \$15,000	DIVIDENDS	NONE	
JT	Apache Stock	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
JT	Apple, Inc. Stock	\$15,001 - \$50,000	DIVIDENDS	NONE	
JT	Bank of America Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	BB&T Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Biovail Stock	None	DIVIDENDS	\$1 - \$200	E

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name David E. Price

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JT	Black & Decker Stock	None	DIVIDENDS	\$1 - \$200	E
JT	Briggs & Stratton Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Caterpillar Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Chevron Texaco Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Cisco Systems Stock	\$15,001 - \$50,000	DIVIDENDS	NONE	
JT	Citigroup Stock	\$1 - \$1,000	DIVIDENDS	NONE	
JT	Coca-Cola Stock	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Corning, Inc. Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Cummins, Inc. Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Devon Energy Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	DTE Energy Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Emerson Electric Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Energy Transfer Partners Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Enterprise Products Partners Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name David E. Price

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JT	Exxon Mobil Stock	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	E (part)
JT	Fifth Third Bancorp Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	General Electric Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Home Depot Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Intel Corp. Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Jos Bank Clothiers Stock	\$1,001 - \$15,000	DIVIDENDS	NONE	
JT	Kimberly - Clark Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Lincoln National Corp Stock	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
JT	Lowes Cos. Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Marriott Intl Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	McDonalds Corp. Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Motorola Inc. Stock	\$1,001 - \$15,000	DIVIDENDS	NONE	
JT	Nokia Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	NY Comm. Bancorp Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P (part)

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name David E. Price

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JT	Oracle Corp. Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	PepsiCo Inc. Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Plains All-American Pipeline Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P (part)
JT	Pool Corporation Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Proctor & Gamble Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Prudential Financial Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Regions Financial Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Stanley Black and Decker Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	E
JT	Staples Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	TCF Financial Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	TECO Energy Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Teva Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	ThreeM (3M) Corporation Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Tuesday Morning Stock	\$1 - \$1,000	DIVIDENDS	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name David E. Price

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JT	United Technologies Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Valent Pharmaceuticals Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	E
JT	Wells Fargo Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
JT	Western Union Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Windstream Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P (part)
JT	Xerox Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	XTO Energy Stock	None	DIVIDENDS	\$1 - \$200	E
JT	Yum Brands Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

# SCHEDULE IV - TRANSACTIONS

Name David E. Price

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	General Motors Acceptance Corp. Bonds	S	No	01-15-10	\$1,001 - \$15,000
JT	NC Health Care Facilities Bonds (part)	P	N/A	12-10-10	\$1,001 - \$15,000
JT	NC Hospital Bonds - Baptist Hospital	P	N/A	6-25-10	\$1,001 - \$15,000
JT	Biovail Stock exchanged via merger for Valent Pharmaceuticals Stock	E	N/A	09-28-10	\$1,001 - \$15,000
JT	Valent Pharmaceuticals Stock acquired via merger for Biovail Stock	E	N/A	09-28-10	\$1,001 - \$15,000
JT	Black & Decker Stock exchanged via merger for Stanley Black & Decker stock	E	N/A	03-15-10	\$1,001 - \$15,000
JT	Stanley Black and Decker Stock acquired via merger for Black & Decker stock	E	N/A	03-15-10	\$1,001 - \$15,000
JT	Exxon Mobil Stock (part) acquired via merger for XTO Energy Stock	E	N/A	6-28-10	\$15,001 - \$50,000
JT	XTO Energy Stock (part) exchanged via merger for Exxon Mobil Stock	E	N/A	06-28-10	\$15,001 - \$50,000
JT	NY Comm. Bancorp Stock (part)	P	N/A	06-28-10	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name David E. Price

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	Plains All-American Pipeline Stock (part)	P	N/A	07-01-10	\$1,001 - \$15,000
JT	Wells Fargo Stock (part)	S	No	12-10-10	\$200-1,000
JT	Windstream Corp Stock (part)	P	N/A	06-28-10	\$1,001 - \$15,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name David E. Price

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Identify the source and list travel itinerary, date, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute	May 31- June 8	Raleigh, NC-Tunis-Warsaw- Washington, DC	Y	Y	Y	2 days



**SCHEDULE VIII - POSITIONS**

Name David E. Price

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Professor on Leave	Duke University
Advisory Council Member	Duke University, Fuqua School of Business, Health Sector Advisory Council
Advisory Board Member	Yale University Divinity School
Advisory Board Member	American Political Science Association - Congressional Fellow Program
Advisory Board Member	The Aspen Institute - Rodel Fellowship in Public Leadership
Advisory Committee Member	The Aspen Institute - Congressional Program
Congressional Advisory Panel	The Faith & Politics Institute

## SCHEDULE IX - AGREEMENTS

Name David E. Price

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A	Duke University	Unpaid Leave of Absence

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 9  
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER  
1011 MAY 16 PM 3:03

John Howard Coble  
(Full Name)

202.225.3065  
(Daytime Telephone)

**HAND DELIVERED**  
(Office Use Only)

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>NC</u> District: <u>06</u>	<input type="checkbox"/> Officer Or Employee	Employing Office:	<b>A \$200 penalty shall be assessed against anyone who files more than 30 days late.</b>
	<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule I.	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII.
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII.
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV.	IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule V.	<b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>Trusts--</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name John Howard Coble

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<b>BLOCK A</b>  <b>Asset and/or Income Source</b> Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provides complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or	<b>BLOCK B</b>  <b>Year-End Value of Asset</b>  at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	<b>BLOCK C</b>  <b>Type of Income</b>  Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	<b>BLOCK D</b>  <b>Amount of Income</b>  For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	<b>BLOCK E</b>  <b>Transaction</b>  Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
BB&T Select Equity Mutual Fund	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
BB&T Mid Cap Growth Mutual Fund (merged with BB&T Mid Cap Value Mutual Fund)	\$50,001 - \$100,000	DIVIDENDS	\$1 - \$200	
BB&T NC Intermediate Tax Free Investment Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
BB&T Savings Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Wright Patman Federal Credit Union Savings Account	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Wells Fargo Advisors Cap Asset Management Account (renamed from Wachovia)	\$100,001 - \$250,000	INTEREST/DIVID ENDS	\$1,001 - \$2,500	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name John Howard Coble

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Federated Bond Value Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Federated Bond MDT Large Cap Value Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Federated Bond Clover Value Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Bank of America Checking Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Bank of North Carolina Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Hartford Insurance Variable Annuity	\$100,001 - \$250,000	DIVIDENDS	NONE	
MassMutual Insurance Flex Extra Annuity	\$50,001 - \$100,000	DIVIDENDS	NONE	
MassMutual Insurance Transitions Annuity	\$100,001 - \$250,000	DIVIDENDS	NONE	
MassMutual Insurance Variable Annuity	\$1,001 - \$15,000	DIVIDENDS	NONE	
MassMutual Transitions Select Variable Annuity	\$50,001 - \$100,000	DIVIDENDS	NONE	
Phoenix Life Insurance Annuity	\$15,001 - \$50,000	DIVIDENDS	NONE	
State Employees Credit Union Savings Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Merrill Lynch Cash Management Account	None	DIVIDENDS	\$1,001 - \$2,500	PS&E
Merrill Lynch IRA	None	DIVIDENDS	NONE	PS&E

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name John Howard Coble

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UBS Financial Services Resource Management Account	\$250,001 - \$500,000	DIVIDENDS	\$1,001 - \$2,500	PS&E
UBS Financial Services IRA	\$15,001 - \$50,000	DIVIDENDS	NONE	PS&E
78 acre farm, Henry County, Virginia	\$50,001 - \$100,000	None	NONE	
Condo, Watauga County, North Carolina	\$250,001 - \$500,000	None	NONE	
NewBridge Bank Stock	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Invesco VanKampen Equity and Income Mutual Fund (Invesco and VanKampen merged)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Invesco VanKampen Comstock Mutual Fund (Invesco and VanKampen merged)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
North Carolina Medicare Community Bond	\$1,001 - \$15,000	DIVIDENDS	NONE	
Western Carolina University R&D Bond	\$1,001 - \$15,000	DIVIDENDS	NONE	
New World Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Capital World Growth and Income Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Yadkin Valley Financial Corporation Stock	\$1 - \$1,000	DIVIDENDS	NONE	
American Equity Insurance Annuity	\$15,001 - \$50,000	DIVIDENDS	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name John Howard Coble

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	Allianz Life Insurance Annuity	\$15,001 - \$50,000	DIVIDENDS	NONE	
	Dreyfus Premier Worldwide Growth Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Morgan Stanley Smith Barney Investment Account	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	RS Investments Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	Oppenheimer Developing Markets Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	McAfee, Inc. (in the Merrill Lynch CMA)	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	S(part)
	Federated Kaufman Fund (in the Merrill Lynch CMA)	\$1 - \$1,000	CAPITAL GAINS	\$1 - \$200	S(part)
	Davis NY Venture (in the Merrill Lynch CMA)	\$1 - \$1,000	CAPITAL GAINS	NONE	S(part)
	Frontier Communications (in the Merrill Lynch CMA)	\$1 - \$1,000	CAPITAL GAINS	\$1 - \$200	S(part)
	Morgan Stanley Smith Barney Investment Account	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	PS(part)
	Amgen, Inc. (in the Merrill Lynch CMA)	\$1,001 - \$15,000	CAPITAL GAINS	\$1,001 - \$2,500	S(part)
	Atmel (in the Merrill Lynch CMA)	\$1,001 - \$15,000	CAPITAL GAINS	\$2,501 - \$5,000	S(part)
	BJs Wholesale (in the Merrill Lynch CMA)	\$1,001 - \$15,000	CAPITAL GAINS	\$2,501 - \$5,000	S(part)
	Bank of America (in the Merrill Lynch CMA)	\$1,001 - \$15,000	CAPITAL GAINS	NONE	S(part)

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name John Howard Coble

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	Boston Scientific (in the Merrill Lynch CMA)	\$1,001 - \$15,000	CAPITAL GAINS	NONE	S(part)
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# SCHEDULE IV - TRANSACTIONS

Name John Howard Coble

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent-child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Merrill Lynch IRA	E	N/A	10-15-10	\$15,001 - \$50,000
	Merrill Lynch Cash Management Account	E	N/A	10-15-10	\$250,001 - \$500,000
	UBS Financial Services Resource Management Account	E	N/A	10-15-10	\$250,001 - \$500,000
	UBS Financial Services IRA	E	N/A	10-15-10	\$15,001 - \$50,000
	RS Investments Mutual Fund	P	N/A	1-3-10	\$1,001 - \$15,000
	Oppenheimer Developing Markets Mutual Fund	P	N/A	1-3-10	\$1,001 - \$15,000
	McAfee, Inc. (in the Merrill Lynch CMA)	S(part)	Yes	8-9-10	\$1,001 - \$15,000
	Federated Kaufman Fund (in the Merrill Lynch CMA)	S(part)	Yes	10-18-10	\$5
	Davis NY Venture Fund (in the Merrill Lynch CMA)	S(part)	Yes	10-18-10	\$28
	Frontier Communication (in the Merrill Lynch CMA)	S(part)	Yes	10-18-10	\$3
	Amgen, Inc. (in the Merrill Lynch CMA)	S(part)	Yes	5-18-10	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name John Howard Coble

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Amtel (in the Merrill Lynch CMA)	S(part)	Yes	5-18-10	\$1,001 - \$15,000
	BJs Wholesale (in the Merrill Lynch CMA)	S(part)	Yes	7-17-10	\$1,001 - \$15,000
	Bank of America (in the Merrill Lynch CMA)	S(part)	Yes	1-11-10	\$1,001 - \$15,000
	Boston Scientific (in the Merrill Lynch CMA)	S(part)	Yes	1-14-10	\$1,001 - \$15,000
	Morgan Stanley Smith Barney Investment Account	S(part)	Yes	various	\$1,001 - \$15,000

**SCHEDULE VIII - POSITIONS**

Name John Howard Coble

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Visitors	Guilford College, Greensboro, North Carolina
Member, Congressional Advisory Board	Greensboro College, Greensboro, North Carolina
Member, Congressional Advisory Board	DECA: An Association of Marketing Students, Reston, Virginia
Member, Congressional Advisory Board	American Conservative Union, Alexandria, Virginia
Member, Congressional Council	Faith & Politics Institute, Washington, D.C.
Member, Congressional Advisory Board	ThanksUSA, McLean, Virginia

# Merrill Lynch All Activity CASH MANAGEMENT ACCOUNT

219 Items - All Available - From 5/12/2009 to 5/12/2011 as of Close of Business 5/11/2011

Total Count:	219
Total Amount:	\$(2,035.84)
Pending Count:	1
Pending Amount:	\$0.00
Settled Count:	218
Settled Amount:	\$(2,035.84)

Account/ Nickname	Trade Date	Settle Date	Type	Description	Symbol/ CUSIP	Quantity	Price	Amount
746-54198	10/11/2010 (Pending)			Broker Full Transfer Out				0.00
746-54198	11/17/2010			Journal Entry CREDIT CASH BALANCE				(22.50)
746-54198	11/10/2010			Journal Entry CREDIT CASH BALANCE				(30.26)
746-54198	11/10/2010			Dividend AMER EXPRESS COMPANY HOLDING 125,0000 PAY DATE 11/10/2010	AXP			22.50
746-54198	11/03/2010			Journal Entry CREDIT CASH BALANCE				(116.97)
746-54198	11/01/2010			Journal Entry CREDIT CASH BALANCE				(30.26)
746-54198	11/01/2010			Dividend AT&T INC HOLDING 160,0000 PAY DATE 11/01/2010	T			75.60
746-54198	11/01/2010			Dividend LINCOLN NTL CORP IND NPV HOLDING 265,0000 PAY DATE 11/01/2010	LNC			2.65
746-54198	11/01/2010			Dividend VERIZON COMMUNICATNS COM HOLDING 135,0000 PAY DATE 11/01/2010	VZ			65.81
746-54198	10/27/2010			Journal Entry CREDIT CASH BALANCE				(3.17)
746-54198	10/25/2010			Journal Entry CREDIT CASH BALANCE				(33.43)
746-54198	10/25/2010			Dividend GENERAL ELECTRIC HOLDING 305,0000 PAY DATE 10/26/2010	GE			36.60
746-54198	10/18/2010			Journal Entry FEDERATED KAUFMANN RD A FRAC SELL, FRAC QTY 929	KAUAX			4.94
746-54198	10/18/2010			Journal Entry DAVIS NY VENTURE RD A FRAC SELL, FRAC QTY 879	NVVTX			28.47
746-54198	10/14/2010			Journal Entry CREDIT CASH BALANCE				(588.66)
746-54198	10/14/2010			Cust Acct Trf ALCOA INC	AA	(485)		0.00
746-54198	10/14/2010			Cust Acct Trf AMERICAN INTERNATIONAL GROUP INC	AIG	(5)		0.00
746-54198	10/14/2010			Cust Acct Trf AT&T INC	T	(180)		0.00
746-54198	10/14/2010			Cust Acct Trf AMER EXPRESS COMPANY	AXP	(125)		0.00
746-54198	10/14/2010			Cust Acct Trf APPLIED MATERIAL INC	AMAT	(200)		0.00
746-54198	10/14/2010			Cust Acct Trf BANK OF AMERICA CORP	BAC	(250)		0.00
746-54198	10/14/2010			Cust Acct Trf BAXTER INTERNL INC	BAX	(110)		0.00
746-54198	10/14/2010			Cust Acct Trf BOSTON SCIENTIFIC CORP	BSX	(380)		0.00
746-54198	10/14/2010			Cust Acct Trf CITIGROUP INC	C	(1,230)		0.00
746-54198	10/14/2010			Cust Acct Trf CEPHALON INC COM	CEPH	(75)		0.00
746-54198	10/14/2010			Cust Acct Trf CISCO SYSTEMS INC COM	CSCO	(220)		0.00
746-54198	10/14/2010			Cust Acct Trf	GLW	(245)		0.00

Accounts included in this report: **CMA**

Report Created 12:09:08PM, May 12, 2011 for COBLE J. HOWARD

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## All Activity

All Activity Types

Total Count: 219  
 Total Amount: \$(2,035.84)  
 Pending Count: 1  
 Pending Amount: \$0.00  
 Settled Count: 218  
 Settled Amount: \$(2,035.84)

219 Items - All Available - From 5/12/2008 to 5/12/2011 as of Close of Business 5/11/2011

Account/ Nickname	Trade Date	Settle Date	Type	Description	Symbol/ CUSIP	Quantity	Price	Amount
				CORNING INC				
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr R R DONNELLEY SONS	RRD	(225)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr E M C CORPORATION MASS	EMC	(300)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr FLEXTRONICS INTL LTD	FLEX	(550)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr FAIRPOINT	FRPMQ	(2)		0.00
746-54198	10/14/2010	10/14/2010	☐	COMMUNICATIONS INC	FTR	(32)		0.00
746-54198	10/14/2010	10/14/2010	☐	FRONTIER	FRX	(215)		0.00
746-54198	10/14/2010	10/14/2010	☐	COMMUNICATIONS CORP	GE	(305)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr FOREST LABS INC	GT	(380)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr GENERAL ELECTRIC	INTC	(250)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr GOODYEAR TIRE RUBBER	KEY	(820)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr INTEL CORP	KG	(540)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr KEYCORP NEW COM	KCG	(500)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr KING PHARMACEUTICALS INC	LNC	(265)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr KNIGHT CAPITAL GROUP INC	MS	(145)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr LINCOLN NTL CORP INC NPV	PFE	(480)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr MORGAN STANLEY	PL	(270)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr PIZZER INC	RIMM	(75)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr PROTECTIVE LIFE CORP COM	SVNT	(325)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr RESEARCH IN MOTION LTD	SCHW	(270)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr SAVIENT	TXT	(255)		0.00
746-54198	10/14/2010	10/14/2010	☐	PHARMACEUTICALS INC	VZ	(135)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr SCHWAB CHARLES CORP NEW	COM	(190)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr ALLIANCEBERNSTEIN HLDG LP	AB			0.00
746-54198	10/14/2010	10/14/2010	☐	Bank Interest BANK DEPOSIT INT 10/14	990286916			0.02
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr FEDERATED KAUFMANN FUND CL A	KAUJX	(7,398)		0.00
746-54198	10/14/2010	10/14/2010	☐	Cust Acct Trfr DAVIS NY VENTURE FD A	NYVTX	(2,108)		0.00
746-54198	10/11/2010	10/11/2010	☐	Journal Entry ACCT TRANSFER FEE - \$95				(95.00)
746-54198	10/01/2010	10/01/2010	☐	Dividend BAXTER INTERNTL INC HOLDING 110.0000 PAY DATE 10/01/2010	BAX			31.90

Accounts included in this report: [REDACTED]

Report Created 12:09:08PM, May 12, 2011 for COBLE J, HOWARD

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## All Activity

All Activity Types

218 Items - All Available - From 5/12/2009 to 5/12/2011 as of Close of Business 5/11/2011

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Pending Amount:	\$0.00
Settled Count:	218
Settled Amount:	\$(2,035.84)

Account/ Nickname	Trade Date	Settle Date	Type	Description	Symbol/ CUSIP	Quantity	Price	Amount
746-54198		09/30/2010	Dividend	CORNING INC HOLDING 245.0000 PAY DATE	GLW			12.25
746-54198		09/30/2010	Dividend	FRONTIER COMMUNICATIONS CORP HOLDING 32.0000 PAY DATE	FTR			6.00
746-54198		09/30/2010	Bank Interest	BANK DEPOSIT INTEREST FROM 08/31 THRU 09/29	990286915			0.19
746-54198		09/24/2010	Dividend	BANK OF AMERICA CORP HOLDING 250.0000 PAY DATE 09/24/2010	BAC			2.50
746-54198		09/15/2010	Dividend	APPLIED MATERIAL INC HOLDING 200.0000 PAY DATE 09/15/2010	AMAT			14.00
746-54198		09/15/2010	Dividend	KEYCORP NEW COM HOLDING 820.0000 PAY DATE 09/15/2010	KEY			8.20
746-54198	09/08/2010	09/13/2010	Purchase	GENERAL ELECTRIC	GE	305	15.685000	(4,938.50)
746-54198	09/08/2010	09/13/2010	Purchase	TEXTRON INC	TXT	255	18.685000	(4,905.91)
746-54198		09/01/2010	Dividend	R R DONNELLEY SONS HOLDING 225.0000 PAY DATE 09/01/2010	RRD			59.50
746-54198		09/01/2010	Dividend	INTEL CORP HOLDING 250.0000 PAY DATE	INTC			39.38
746-54198		09/01/2010	Dividend	PRIZER INC HOLDING 185.0000 PAY DATE	PFE			33.30
746-54198		08/31/2010	Bank Interest	BANK DEPOSIT INTEREST FROM 07/30 THRU 08/30	990386916			0.14
746-54198		08/30/2010	Dividend	PROTECTIVE LIFE CORP COM HOLDING 270.0000 PAY DATE 08/30/2010	PL			37.80
746-54198		08/27/2010	Dividend	SCHWAB CHARLES CORP NEW HOLDING 270.0000 PAY DATE 08/27/2010	SCHW			16.20
746-54198		08/25/2010	Dividend	ALCOA INC HOLDING 485.0000 PAY DATE	AA			14.55
746-54198	08/19/2010	08/24/2010	Sale	MCALPINE INC	MFE	(155)	46.990100	7,111.20
746-54198		08/19/2010	Dividend	ALLIANCEBERNSTEIN HLDG LP HOLDING 190.0000 PAY DATE 08/19/2010	AB			58.90
746-54198	08/13/2010	08/18/2010	Purchase	AT&T INC ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST	T	180	26.805100	(4,960.43)
746-54198	08/13/2010	08/18/2010	Sale	GOODYEAR TIRE RUBBER	GT	(320)	9.982400	3,061.59

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Pending Amount:	\$0.00
Settled Count:	218
Settled Amount:	\$(2,035.84)

Account/ Nickname	Trade Date	Settle Date	Type	Description	Symbol/ CUSIP	Quantity	Price	Amount
ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTRO ARE AVAILABLE UPON REQUEST.								
746-54198	08/13/2010	08/18/2010	☐	Sale KING PHARMACEUTICALS INC VSP 10/31/07 475.000000 ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTRO ARE AVAILABLE UPON REQUEST.	KG	(475)	8.603500	3,923.73
Purchase PRIZE INC ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTRO ARE AVAILABLE UPON REQUEST.								
746-54198	08/13/2010	08/18/2010	☐	Purchase PRIZE INC ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTRO ARE AVAILABLE UPON REQUEST.	PFE	305	16.160000	(5,085.58)
Funds Received CHECK DEPOSIT								
746-54198	08/16/2010	08/16/2010	\$+	Funds Received				5,000.00
Dividend MORGAN STANLEY HOLDING 145.0000 PAY DATE								
746-54198	08/13/2010	08/13/2010	☐	Dividend MORGAN STANLEY HOLDING 145.0000 PAY DATE	MS			7.25
Dividend AMER EXPRESS COMPANY HOLDING 125.0000 PAY DATE 08/10/2010								
746-54198	08/10/2010	08/10/2010	☐	Dividend AMER EXPRESS COMPANY HOLDING 125.0000 PAY DATE 08/10/2010	AXP			22.50
Dividend LINCOLN NTL CORP IND NPV HOLDING 265.0000 PAY DATE 08/01/2010								
746-54198	08/02/2010	08/02/2010	☐	Dividend LINCOLN NTL CORP IND NPV HOLDING 265.0000 PAY DATE 08/01/2010	LNC			2.65
Dividend VERIZON COMMUNICATIONS CORP HOLDING 135.0000 PAY DATE 08/02/2010								
746-54198	08/02/2010	08/02/2010	☐	Dividend VERIZON COMMUNICATIONS CORP HOLDING 135.0000 PAY DATE 08/02/2010	VZ			64.13
Bank Interest BANK DEPOSIT INTEREST FROM 06/30 THRU 07/28								
746-54198	07/30/2010	07/30/2010	☐	Bank Interest BANK DEPOSIT INTEREST FROM 06/30 THRU 07/28	990286916			0.04
Cash In Lieu FRONTIER COMMUNICATIONS CORP HOLDING 135.0000 PAID BY FORM 1099-B SUBSTITUTE PAY DATE 07/08/2010								
746-54198	07/16/2010	07/16/2010	☐	Cash In Lieu FRONTIER COMMUNICATIONS CORP HOLDING 135.0000 PAID BY FORM 1099-B SUBSTITUTE PAY DATE 07/08/2010	FTR			2.91
Sale BUS WHOLESAL CLUB INC								
746-54198	07/07/2010	07/12/2010	☐	Sale BUS WHOLESAL CLUB INC	BI	(200)	42.469000	8,297.39
Purchase CISCO SYSTEMS INC COM								
746-54198	07/07/2010	07/12/2010	☐	Purchase CISCO SYSTEMS INC COM	CSCO	220	22.045500	(4,992.30)
Sale MORGAN STANLEY								
746-54198	07/07/2010	07/12/2010	☐	Sale MORGAN STANLEY	MS	(65)	23.690000	1,472.14
Purchase MCAFFEE INC								
746-54198	07/07/2010	07/12/2010	☐	Purchase MCAFFEE INC	MFB	155	31.335500	(4,989.06)
Stock Dividend FRONTIER COMMUNICATIONS CORP CORP HOLDING 135.0000 PAID BY PAY DATE 07/08/2010								
746-54198	07/08/2010	07/08/2010	☐	Stock Dividend FRONTIER COMMUNICATIONS CORP CORP HOLDING 135.0000 PAID BY PAY DATE 07/08/2010	FTR	32		0.00
Dividend FRONTIER COMMUNICATIONS CORP								
746-54198	07/08/2010	07/08/2010	☐	Dividend FRONTIER COMMUNICATIONS CORP	FTR	(32)		0.00
Dividend FRONTIER COMMUNICATIONS CORP								
746-54198	07/02/2010	07/02/2010	☐	Dividend FRONTIER COMMUNICATIONS CORP	FTR	32		0.00
Dividend BAXTER INTERNL INC HOLDING 110.0000 PAY								
746-54198	07/01/2010	07/01/2010	☐	Dividend BAXTER INTERNL INC HOLDING 110.0000 PAY	BAX			31.90

Accounts Included in this report: [REDACTED]

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All Activity Types

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Total Amount:	\$(2,035.84)
Pending Count:	1
Pending Amount:	\$0.00
Settled Count:	218
Settled Amount:	\$(2,035.84)

Account/ Nickname	Trade Date	Settle Date	Type	Description	Symbol/ CUSIP	Quantity	Price	Amount
DATE 07/01/2010								
746-54198	06/30/2010			Dividend CORNING INC HOLDING 245.0000 PAY DATE 06/30/2010	GLW			12.25
746-54198	06/30/2010			Bank Interest BANK DEPOSIT INTEREST FROM 05/28 THRU 06/29	990286916			0.05
746-54198	06/25/2010			Dividend BANK OF AMERICA CORP HOLDING 250.0000 PAY DATE 06/23/2010	BAC			2.50
746-54198	06/16/2010			Dividend APPLIED MATERIAL INC HOLDING 200.0000 PAY DATE 06/16/2010	AMAT			14.00
746-54198	06/15/2010			Dividend KEYCORP NEW COM HOLDING 820.0000 PAY DATE 06/15/2010	KEY			8.20
746-54198	06/04/2010			Dividend R R DONNELLEY SONS HOLDING 225.0000 PAY DATE 06/01/2010	RRD			58.50
746-54190	06/01/2010			Dividend INTEL CORP HOLDING 250.0000 PAY DATE 06/01/2010	INTC			39.38
746-54198	06/01/2010			Dividend PFIZER INC HOLDING 185.0000 PAY DATE 06/01/2010	PFE			33.30
746-54198	06/01/2010			Dividend PROTECTIVE LIFE CORP COM HOLDING 270.0000 PAY DATE 06/01/2010	PL			37.80
746-54198	05/28/2010			Bank Interest BANK DEPOSIT INTEREST FROM 04/30 THRU 05/27	990286916			0.38
746-54198	05/27/2010			Dividend ALLIANCEBERNSTEIN HLDG LP HOLDING 190.0000 PAY DATE 05/27/2010	AB			87.40
746-54198	05/25/2010			Dividend ALCOA INC HOLDING 485.0000 PAY DATE 05/25/2010	AA			14.55
746-54198	05/21/2010			Dividend SCHWAB CHARLES CORP NEW HOLDING 270.0000 PAY DATE 05/21/2010	SCHW			16.20
746-54198	05/18/2010			Sale AMGEN INC COM PV 40.0001	AMGN	(107)	54.752500	5,713.84
746-54198	05/18/2010			Sale ATMEL CORP COM	ATML	(1,480)	5.381600	7,683.05
746-54198	05/18/2010			Purchase BAXTER INTERNTL INC	BAX	110	43.657300	(4,926.44)
746-54198	05/18/2010			Purchase GOODYEAR TIRE RUBBER UNSOLICITED ORDER	GT	380	12.800000	(5,031.60)
746-54198	05/18/2010			Purchase KING PHARMACEUTICALS INC UNSOLICITED ORDER	KG	340	8.978200	(3,166.56)
746-54198	05/18/2010			Purchase KING PHARMACEUTICALS INC UNSOLICITED ORDER	KG	200	8.980000	(1,859.90)
746-54198	05/18/2010			Purchase KING PHARMACEUTICALS INC UNSOLICITED ORDER	MS	145	26.760000	(3,995.81)

Accounts included in this report: [REDACTED]

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Pending Amount:	\$0.00
Settled Count:	218
Settled Amount:	\$(2,035.84)

Account/ Nickname	Trade Date	Settle Date	Type	Description	Symbol/ CUSIP	Quantity	Price	Amount
746-54198				MORGAN STANLEY UNSOLICITED ORDER				
		05/14/2010	Dividend	MORGAN STANLEY HOLDING 65.0000 PAY DATE 05/14/2010	MS			3.25
746-54198		05/10/2010	Dividend	AMER EXPRESS COMPANY HOLDING 125.0000 PAY DATE 05/10/2010	AXP			22.50
746-54198		05/03/2010	Dividend	LINCOLN ATL CORP IND NPV HOLDING 265.0000 PAY DATE 05/01/2010	LNC			2.65
746-54198		05/03/2010	Dividend	VERIZON COMMUNICATIONS COM HOLDING 135.0000 PAY DATE 05/03/2010	VZ			64.13
746-54198		04/30/2010	Bank Interest	BANK DEPOSIT INTEREST FROM 03/31 THRU 04/29	990286916			0.51
746-54198		03/31/2010	Dividend	CORNING INC HOLDING 245.0000 PAY DATE 03/31/2010	GLW			12.25
746-54198		03/31/2010	Bank Interest	BANK DEPOSIT INTEREST FROM 02/26 THRU 03/30	990286916			0.26
746-54198		03/26/2010	Dividend	BANK OF AMERICA CORP HOLDING 250.0000 PAY DATE 03/26/2010	BAC			2.50
746-54198		03/17/2010	Dividend	APPLIED MATERIAL INC HOLDING 200.0000 PAY DATE 03/17/2010	AMAT			12.00
746-54198		03/15/2010	Dividend	KEYCORP NEW COM HOLDING 820.0000 PAY DATE 03/15/2010	KEY			8.20
746-54198		03/04/2010	Dividend	ALLIANCEBERNSTEIN HLDG LP HOLDING 190.0000 PAY DATE 03/04/2010	AB			117.80
746-54198		03/02/2010	Dividend	PFIZER INC HOLDING 185.0000 PAY DATE 03/02/2010	PFE			33.30
746-54198		03/01/2010	Dividend	R R DONNELLEY SONS HOLDING 225.0000 PAY DATE 03/01/2010	RRO			58.50
746-54198		03/01/2010	Dividend	INTEL CORP HOLDING 250.0000 PAY DATE 03/01/2010	INTC			39.38
746-54198		03/01/2010	Dividend	PROTECTIVE LIFE CORP COM HOLDING 270.0000 PAY DATE 03/01/2010	PL			32.40
746-54198		02/26/2010	Dividend	SCHWAB CHARLES CORP NEW HOLDING 270.0000 PAY DATE 02/26/2010	SCHW			16.20
746-54198		02/26/2010	Bank Interest	BANK DEPOSIT INTEREST FROM 01/29 THRU 02/25	990286916			0.21
746-54198		02/25/2010	Dividend	ALCOA INC HOLDING	AA			14.55

Accounts included in this report: [REDACTED]

Report Created 12:09:08PM, May 12, 2011 for COBLE J, HOWARD

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## All Activity

All Activity Types

219 items - All Available - From 5/12/2009 to 5/12/2011 as of Close of Business 5/11/2011

Total Count:	219
Total Amount:	\$(2,035.84)
Pending Count:	1
Pending Amount:	\$0.00
Settled Count:	218
Settled Amount:	\$(2,035.84)

Account/ Nickname	Trade Date	Settle Date	Type	Description	Symbol/ CUSIP	Quantity	Price	Amount
746-54198				485,000 PAY DATE				
	02/12/2010	02/25/2010		Dividend	MS			3.25
				MORGAN STANLEY HOLDING				
				65,000 PAY DATE				
746-54198		02/10/2010		Dividend	AXP			22.50
				AMER EXPRESS COMPANY				
				HOLDING 125,000 PAY				
				DATE 02/10/2010				
746-54198		02/01/2010		Dividend	LNC			2.85
				LINCOLN NTL CORP IND NPV				
				HOLDING 265,000 PAY				
				DATE 02/01/2010				
746-54198		02/01/2010		Dividend	VZ			64.13
				VERIZON COMMUNICATNS				
				COM HOLDING 135,000				
				PAY DATE 02/01/2010				
746-54198		01/29/2010		Bank Interest	990286916			0.15
				BANK DEPOSIT INTEREST				
				FROM 01/01 THRU 01/28				
746-54198		01/14/2010		Sale	BSX	(280)	9.100000	2,431.38
				BOSTON SCIENTIFIC CORP				
746-54198		01/14/2010		Sale	RAD	(185)	22.740000	4,079.96
				R R DONNELLEY SONS				
746-54198		01/14/2010		Sale	FLEX	(40)	7.212500	289.11
				ELECTRONICS INTL LTD				
746-54198		01/14/2010		Sale	FLEX	(300)	7.212500	2,059.51
				ELECTRONICS INTL LTD				
746-54198		01/14/2010		Sale	KEY	(350)	6.520000	2,158.40
				KEYCORP NEW COM				
746-54198		01/14/2010		Purchase	RIMM	75	66.500000	(5,112.10)
				RESEARCH IN MOTION LTD				
746-54198		01/11/2010		Sale	BAC	(75)	16.930000	1,208.24
				BANK OF AMERICA CORP AS				
				OF 01/08 UNSOLICITED				
				ORDER				
746-54198		01/08/2010		Purchase	FL	100	17.970000	(1,855.95)
				PROTECTIVE LIFE CORP COM				
746-54198		01/08/2010		Purchase	PL	170	17.964800	(3,145.15)
				PROTECTIVE LIFE CORP COM				
746-54198		01/08/2010		Sale	AB	(120)	28.699300	3,338.80
				ALLIANCEBERNSTEIN HLDG				
746-54198		12/31/2009		Dividend	KAUAX			62.78
				FEDERATED KAUFMAN FD A				
				PAY DATE 12/30/2009				
746-54198		12/31/2009		Reinvest	KAUAX			(62.78)
				FEDERATED KAUFMAN FD A				
746-54198		12/31/2009		Dividend	KAUAX	13		0.00
				FEDERATED KAUFMAN				
				FUND L A AGENT RELAY				
				AMOUNT \$62.78 REINVEST				
				PRICE 4.69000 C QUANTITY				
				BOT 1 3860 AS OF 12/29				
746-54198		12/31/2009		Bank Interest	990286916			0.10
				BANK DEPOSIT INTEREST				
				FROM 12/30 THRU 12/31				
746-54198		12/31/2009		Dividend	BAC			0.75
				BANK OF AMERICA CORP				
				HOLDING 75,000 PAY DATE				
				12/24/2009				
746-54198		12/31/2009		Dividend	GLW			12.25
				CORNING INC HOLDING				
				245,000 PAY DATE				
				12/18/2009				
746-54198		12/14/2009		Sale	AMGN	(40)	56.940000	2,195.30
				AMGEN INC COM PV \$0.0001				

Accounts Included in this report:

Report Created 12:09:08PM, May 12, 2011 for C08LE J. HOWARD

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## All Activity

All Activity Types

Merrill Lynch  
IRA

Total Count:	132
Total Amount:	\$(0.35)
Pending Count:	1
Pending Amount:	\$0.00
Settled Count:	131
Settled Amount:	\$(0.35)

132 Items - All Available - From 5/12/2009 to 5/12/2011 as of Close of Business 5/11/2011

Account/ Nickname	Trade Date	Settle Date	Type	Description	Symbol/ CUSIP	Quantity	Price	Amount
746-88031	10/12/2010 (Pending)		☐	Broker Full Transfer Out				0.00
746-88031		10/27/2010	☐	Journal Entry CREDIT CASH BALANCE				(19.20)
746-88031		10/26/2010	☐	Journal Entry CREDIT CASH BALANCE				(23.26)
746-88031		10/25/2010	☐	Dividend GENERAL ELECTRIC HOLDING 160,0000 PAY DATE 10/25/2010	GE			19.20
746-88031		10/19/2010	☐	Journal Entry FEDERATED KAUFMANN PD A FRAC SELL, FRAC QTY .807	KAUAX			4.27
746-88031		10/19/2010	☐	Journal Entry DAVIS NY VENTURE PD A FRAC SELL, FRAC QTY .588	NYVTX			18.99
746-88031		10/15/2010	☐	Journal Entry CREDIT CASH BALANCE				(34.09)
746-88031		10/15/2010	☐	Cust Acct Trfr AMERICAN INTERNATIONAL GROUP INC	AIG	(3)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr APPLIED MATERIAL INC	AMAT	(150)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr AT&T CORP COM	ATML	(225)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr CITIGROUP INC	C	(450)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr R R DONNELLEY SONS	RDD	(99)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr F M C CORPORATION MASS	BMC	(260)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr FLEXTRONICS INTL LTD	FLEX	(210)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr GENERAL ELECTRIC	GE	(160)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr KING PHARMACEUTICALS INC	KG	(300)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr MICRON TECHNOLOGY INC	MU	(250)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr PFIZER INC	PFE	(95)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr SAVIENT	SVNT	(150)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr SCHWAB CHARLES CORP NEW	SCHW	(215)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr ALLIANCEBERNSTEIN HLDG LP	AB	(80)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr FEDERATED KAUFMANN FUND CL A	KAUAX	(422)		0.00
746-88031		10/15/2010	☐	Cust Acct Trfr DAVIS NY VENTURE PD A	NYVTX	(25)		0.00
746-88031		10/14/2010	☐	Received FIA CARD SVS NA RASP	IIAXX	33		0.00
746-88031		10/14/2010	☐	Sale FIA CARD SVS NA RASP FULL LIQUIDATION	IIAXX	(33)		33.22
746-88031		10/13/2010	☐	Received FIA CARD SVS NA RASP	IIAXX	75		0.00
746-88031		10/13/2010	☐	Sale FIA CARD SVS NA RASP	IIAXX	(75)		75.00
746-88031		10/12/2010	☐	Journal Entry				(75.00)

Accounts included in this report: [REDACTED]

Report Created 12:13:05PM, May 12, 2011 for COBLE J, HOWARD

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## All Activity

All Activity Types

132 Items - All Available - From 5/12/2008 to 5/12/2011 as of Close of Business 5/11/2011

Total Count:	132
Total Amount:	\$(0.35)
Pending Count:	1
Pending Amount:	\$0.00
Settled Count:	131
Settled Amount:	\$(0.35)

Account/ Nickname	Trade Date	Settle Date	Type	Description	Symbol/ CUSIP	Quantity	Price	Amount
ACCT TRANSFER FEE - \$75								
746-88031		09/16/2010	☐	Fund Delivery FIA CARD SVS NA RASP	IIAXX	(10)		0.00
746-88031		09/16/2010	☐	Purchase FIA CARD SVS NA RASP	IIAXX	10		(10.00)
746-88031		09/15/2010	☐	Dividend APPLIED MATERIAL INC HOLDING 150.0000 PAY DATE 09/15/2010	AMAT			10.50
746-88031		09/13/2010	☐	Received FIA CARD SVS NA RASP	IIAXX	2,603		0.00
746-88031		09/13/2010	☐	Sale FIA CARD SVS NA RASP	IIAXX	(2,603)		2,603.00
746-88031	09/08/2010	09/13/2010	☐	Purchase GENERAL ELECTRIC	GE	160	15.660000	(2,802.65)
746-88031		09/02/2010	☐	Fund Delivery FIA CARD SVS NA RASP	IIAXX	(42)		0.00
746-88031		09/02/2010	☐	Purchase FIA CARD SVS NA RASP	IIAXX	42		(42.00)
746-88031		09/01/2010	☐	Dividend R R DONNELLEY SONS HOLDING 95.0000 PAY DATE 09/01/2010	RRD			24.70
746-88031		09/01/2010	☐	Dividend PFIZER INC HOLDING 95.0000 PAY DATE 09/01/2010	PFE			17.10
746-88031		08/30/2010	☐	Fund Delivery FIA CARD SVS NA RASP	IIAXX	(13)		0.00
746-88031		08/30/2010	☐	Purchase FIA CARD SVS NA RASP	IIAXX	13		(13.00)
746-88031		08/27/2010	☐	Dividend SCHWAB CHARLES CORP NEW HOLDING 215.0000 PAY DATE 08/27/2010	SCHW			12.90
746-88031		08/25/2010	☐	Fund Delivery FIA CARD SVS NA RASP	IIAXX	(2,497)		0.00
746-88031		08/25/2010	☐	Purchase FIA CARD SVS NA RASP	IIAXX	2,497		(2,497.00)
746-88031	08/19/2010	08/24/2010	☐	Sale MCAFEE INC	MFE	(55)	46.990100	2,497.04
746-88031		08/20/2010	☐	Fund Delivery FIA CARD SVS NA RASP	IIAXX	(25)		0.00
746-88031		08/20/2010	☐	Purchase FIA CARD SVS NA RASP	IIAXX	25		(25.00)
746-88031		08/19/2010	☐	Dividend ALLIANCEBERNSTEIN HLDG LP HOLDING 80.0000 PAY DATE 08/19/2010	AB			24.80
746-88031		07/12/2010	☐	Received FIA CARD SVS NA RASP	IIAXX	848		0.00
746-88031		07/12/2010	☐	Sale FIA CARD SVS NA RASP	IIAXX	(848)		848.00
746-88031	07/07/2010	07/12/2010	☐	Sale BJS WHOLESALE CLUB INC	BJ	(68)	42.440000	2,793.48
746-88031	07/07/2010	07/12/2010	☐	Purchase CITIGROUP INC	C	450	3.838300	(1,850.43)
746-88031	07/07/2010	07/12/2010	☐	Purchase MCAFEE INC	MFE	\$5	31.270000	(1,791.97)
746-88031		06/17/2010	☐	Fund Delivery FIA CARD SERVICES, N.A.	IIAXX	(10)		0.00
746-88031		06/17/2010	☐	Purchase FIA CARD SERVICES, N.A. RASP	IIAXX	10		(10.00)

Accounts Included In this report: [REDACTED]

Report Created 12:13:05PM, May 12, 2011 for COBLE J, HOWARD

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# All Activity

All Activity Types

132 items - All Available - From 5/12/2009 to 5/12/2011 as of Close of Business 5/11/2011

Total Count: 132  
Total Amount: \$(0.35)  
Pending Count: 1  
Pending Amount: \$0.00  
Settled Count: 131  
Settled Amount: \$(0.35)

Account/ Nickname	Trade Date	Settle Date	Type	Description	Symbol/ CUSIP	Quantity	Price	Amount
746-88031	06/16/2010	06/16/2010	g	Dividend APPLIED MATERIAL INC HOLDING 150.0000 PAY DATE 06/16/2010	AMAT			10.50
746-88031	06/02/2010	06/02/2010	g	Fund Delivery FIA CARD SVS NA RASP	IIAXX	(42)		0.00
746-88031	06/02/2010	06/02/2010	g	Purchase FIA CARD SVS NA RASP	IIAXX	42		(42.00)
746-88031	06/01/2010	06/01/2010	g	Dividend R R DONNELLEY SONS HOLDING 95.0000 PAY DATE 06/01/2010	RDD			24.70
746-88031	06/01/2010	06/01/2010	g	Dividend PFIZER INC HOLDING 95.0000 PAY DATE 06/01/2010	PFE			17.10
746-88031	05/28/2010	05/28/2010	g	Fund Delivery FIA CARD SVS NA RASP	IIAXX	(37)		0.00
746-88031	05/28/2010	05/28/2010	g	Purchase FIA CARD SVS NA RASP	IIAXX	37		(37.00)
746-88031	05/27/2010	05/27/2010	g	Dividend ALLIANCEBERNSTEIN HLDG LP HOLDING 80.0000 PAY DATE 05/27/2010	AB			36.80
746-88031	05/24/2010	05/24/2010	g	Fund Delivery FIA CARD SVS NA RASP	IIAXX	(13)		0.00
746-88031	05/24/2010	05/24/2010	g	Purchase FIA CARD SVS NA RASP	IIAXX	13		(13.00)
746-88031	05/21/2010	05/21/2010	g	Dividend SCHWAB CHARLES CORP NEW HOLDING 215.0000 PAY DATE 05/21/2010	SCHW			12.90
746-88031	03/18/2010	03/18/2010	g	Fund Delivery FIA CARD SVS NA RASP	IIAXX	(9)		0.00
746-88031	03/18/2010	03/18/2010	g	Purchase FIA CARD SVS NA RASP	IIAXX	9		(9.00)
746-88031	03/17/2010	03/17/2010	g	Dividend APPLIED MATERIAL INC HOLDING 180.0000 PAY DATE 03/17/2010	AMAT			9.00
746-88031	03/05/2010	03/05/2010	g	Fund Delivery FIA CARD SVS NA RASP	IIAXX	(49)		0.00
746-88031	03/05/2010	03/05/2010	g	Purchase FIA CARD SVS NA RASP	IIAXX	49		(49.00)
746-88031	03/04/2010	03/04/2010	g	Dividend ALLIANCEBERNSTEIN HLDG LP HOLDING 80.0000 PAY DATE 03/04/2010	AB			49.60
746-88031	03/03/2010	03/03/2010	g	Received PFIZER INC HOLDING 95.0000 PAY DATE 03/03/2010	IIAXX	67		0.00
746-88031	03/03/2010	03/03/2010	g	Sale FIA CARD SVS NA RASP	IIAXX	(67)		67.00
746-88031	03/03/2010	03/03/2010	g	Journal Entry ANNUAL ACCOUNT FEE 010110-123110 \$ 84.06				(84.06)
746-88031	03/02/2010	03/02/2010	g	Dividend PFIZER INC HOLDING 95.0000 PAY DATE 03/02/2010	PFE			17.10
746-88031	03/02/2010	03/02/2010	g	Fund Delivery FIA CARD SVS NA RASP	IIAXX	(25)		0.00
746-88031	03/02/2010	03/02/2010	g	Purchase FIA CARD SVS NA RASP	IIAXX	25		(25.00)
746-88031	03/01/2010	03/01/2010	g	Dividend R R DONNELLEY SONS HOLDING 95.0000 PAY DATE 03/01/2010	RDD			24.70

Accounts Included in this report: [REDACTED]

Report Created 12:13:05PM, May 12, 2011 for COBLE J. HOWARD

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## All Activity

All Activity Types

132 Items - All Available - From 5/12/2009 to 5/12/2011 as of Close of Business 5/11/2011

Total Count:	132
Total Amount:	\$(0.35)
Pending Count:	1
Pending Amount:	\$0.00
Settled Count:	131
Settled Amount:	\$(0.35)

Account/ Nickname	Trade Date	Settle Date	Type	Description	Symbol/ CUSIP	Quantity	Price	Amount
746-88031		03/01/2010		Fund Delivery FIA CARD SVS NA RASP	IIAXX	(13)		0.00
746-88031		03/01/2010		Purchase FIA CARD SVS NA RASP	IIAXX	13		(13.00)
746-88031		02/26/2010		Dividend SCHWAB CHARLES CORP NEW HOLDING 215.0000 PAY DATE 02/26/2010	SCHW			12.90
746-88031				FEDERATED KJURHANN F PAY DATE 12/1/2009	IIAXX			
746-88031	12/1/2009			Rein FEDERATED KJURHANN F	IIAXX			(3.59)
746-88031	12/1/2009			Divid FEDERATED KJURHANN F	IIAXX			0.00
746-88031	12/04/2009			AGET REINV MOUNT \$3.5 REINV PRICE \$4.6 200 QUANTITY BOT 7650 AS OF 12/29	IIAXX	(9)		0.00
746-88031	12/04/2009			Fund Delivery FIA CARD SVS NA RASP	IIAXX			0.00
746-88031	12/04/2009			Purch FIA CARD SVS NA RASP	IIAXX	9		(9.00)
746-88031	12/03/2009			Divid APPLIED MATERIAL INC HOLDING 150.0000 PAY DATE 12/03/2009	IIAXX			9.00
746-88031	12/03/2009			Divid DAV S NY VEN JRE FD	IIAXX			5.34
746-88031	12/03/2009			PAY DAV S NY VEN JRE FD	IIAXX			(5.34)
746-88031	12/03/2009			Rein DAV S NY VEN JRE FD	IIAXX			0.00
746-88031	12/03/2009			AGET REINV MOUNT \$5.1 REINV PRICE \$30.2000 QUANTITY BOT 1750 AS OF 12/0	IIAXX	(40)		0.00
746-88031	12/02/2009			Fund Delivery FIA CARD SVS NA RASP	IIAXX			0.00
746-88031	12/02/2009			Purch FIA CARD SVS NA RASP	IIAXX	40		(40.00)
746-88031	12/01/2009			Divid R R DINWELLS SONS HOLDING 95.0000 PAY DATE 12/01/2009	IIAXX			24.70
746-88031	12/01/2009			PAY PRIZ R INC HOLDING 95.0000 PAY DATE 12/01/2009	IIAXX			15.20
746-88031	11/10/2009			Fund Delivery FIA CARD SVS NA RASP	IIAXX	(13)		0.00
746-88031	11/10/2009			Purch FIA CARD SVS NA RASP	IIAXX	13		(13.00)
746-88031	11/17/2009			Divid SCHWAB CHARLES CORP NEW HOLDING 15.0000 PAY DATE 11/17/2009	SCHW			12.90
746-88031	11/10/2009			Fund Delivery FIA CARD SVS NA RASP	IIAXX	(53)		0.00
746-88031	11/10/2009			Purch FIA CARD SVS NA RASP	IIAXX	53		(53.00)
746-88031	11/10/2009			Divid ALLIANCEBERNSTEIN HLDG LP HOLDING 80.0000 PAY DATE 11/10/2009	IIAXX			53.60
746-88031	09/08/2009			Fund Delivery FIA CARD SVS NA RASP	IIAXX	(9)		0.00

Accounts included in this report:

Report Created 12:13:05PM, May 12, 2011 for COBLE 2, HOWARD

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UBS Financial Services Inc.  
3200 NORTHLINE AVENUE  
SUITE 100  
GREENSBORO NC 27408-7600

## Summary of your UBS Portfolio

December 2010

J HOWARD COBLE

Your Financial Advisor:  
ROBERT C KETNER  
Phone: 336 854-7000/800-821-0355

Visit our website:  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

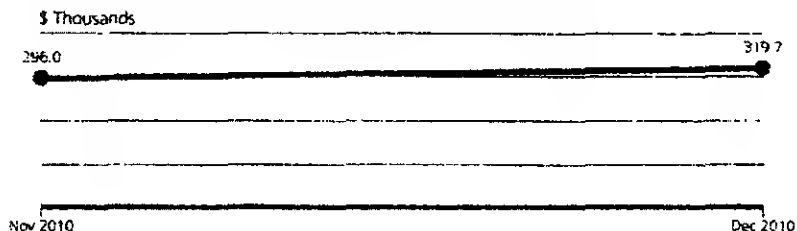
### Items for your attention

• If you use UBS Online Services, consider changing your User Name and Password regularly to protect your personal data. Not enrolled? Go to [ubs.com/online services](http://ubs.com/online services).

### Value of your portfolio

	on November 30 (\$)	on December 31 (\$)
Your assets	295,962.05	319,720.41
Your liabilities	0.00	0.00
<b>Value of your portfolio</b>	<b>\$295,962.05</b>	<b>\$319,720.41</b>

### Tracking the value of your portfolio



### Sources of your portfolio growth during 2010

Value of your portfolio at year end 2010	\$0.00
Net deposits and withdrawals	\$304,988.64
Your investment return:	
Dividend and interest income	\$1,473.06
Change in market value	\$10,258.71
<b>Value of your portfolio on Dec 31, 2010</b>	<b>\$319,720.41</b>



Summary of your UBS portfolio  
December 2010

Your Financial Advisor:  
ROBERT C. KETNER  
Telephone 336-854 7000/800-821-0353

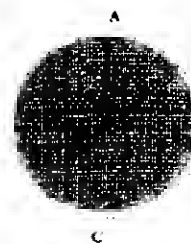
## Your portfolio balance sheet

### Summary of your assets

	Value on December 31 (\$)	Percentage of your portfolio
A Cash and money balances	1,997.77	0.62%
B Cash alternatives	0.00	0.00%
C Equities	317,722.64	99.38%
D Fixed income	0.00	0.00%
E Alternative strategies	0.00	0.00%
F Broad commodities	0.00	0.00%
G Real estate	0.00	0.00%
H Other	0.00	0.00%
<b>Total assets</b>	<b>\$319,720.41</b>	<b>100.00%</b>

Value of your portfolio **\$319,720.41**

### Your current asset allocation



► UBS Bank USA deposit portfolio balances are included in the Cash and money balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See the important information about your statement on the last two pages of this statement for details about those balances.

## Eye on the markets

Index	Percentage change	
	December 2010	Year to date
S&P 500	6.78%	15.16%
Russell 3000	6.78%	16.93%
MSCI - Europe, Australia & Far East	8.11%	8.21%
Barclays Capital Aggregate Bond Index 10+ Yrs.	-2.13%	9.38%

### Interest rates on December 31, 2010

3-month Treasury bills: 0.12%  
One-month LIBOR: 0.26%





Summary of your UBS portfolio  
December 2010

Your Financial Advisor:  
ROBERT C. KETNER  
Telephone 336-854-7000/800-821-0353

### Accounts in your portfolio

Details on page	Account number	Account name and type	Value on November 30 (\$)	Value on December 31 (\$)	Percentage of portfolio
5	[REDACTED]	J HOWARD COBLE - RMA	261,714.65	283,485.82	88.57%
17	[REDACTED]	J HOWARD COBLE - IRA	34,247.40	36,234.59	11.33%
Total			\$295,962.05	\$319,720.41	100 %

### Change in the value of your accounts

Account	Opening value on December 1 (\$)	Deposits, including securities transferred in (\$)	Withdrawals and fees, including securities transferred out (\$)	Dividend and interest income (\$)	Change in value of outside assets and accruals (\$)	Change in market value (\$)	Closing value on December 31 (\$)
[REDACTED] J HOWARD COBLE - RMA	261,714.65	1,819.37	-150.00	1,272.90	0.00	18,838.90	283,485.82
[REDACTED] J HOWARD COBLE - IRA	34,247.40	75.00	-1,724.37	79.06	0.00	3,557.50	36,234.59
Total	\$295,962.05	\$1,894.37	-\$1,874.37	\$1,351.96	\$0.00	\$22,386.40	\$319,720.41



Summary of your UBS portfolio  
December 2010

Your Financial Advisor:  
ROBERT C. KETNAR  
Telephone 336-854-7000/800-821-0255

## Dividend and interest income earned by account

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such

as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

Taxable accounts	Year to date					Total (\$)	Estimated Annual Income (\$)
	Taxable dividends (\$)	Tax-exempt dividends (\$)	Taxable interest (\$)	Tax-exempt interest (\$)	Miscellaneous (\$)		
J HOWARD COBLE - RMA	1,348.70	0.00	0.00	0.00	22.80	1,371.50	3,455

Tax-deferred accounts	Year to date				Total (\$)	Estimated Annual Income (\$)
	Dividends (\$)		Interest (\$)	Miscellaneous (\$)		
J HOWARD COBLE - IRA	91.96		0.00	9.60	101.56	433

## Summary of gains and losses by account

Values reported below exclude products for which gains and losses are not classified and products with a trade date of the prior year.

### Realized gains and losses

Taxable accounts	December 2010		Year to date	
	Short term (\$)	Long term (\$)	Short term (\$)	Long term (\$)
J HOWARD COBLE - RMA	0.00	91.04	2,396.88	91.04
Tax-deferred accounts				
J HOWARD COBLE - IRA	0.00	529.15	0.00	1,314.13

### Unrealized gains and losses

Unrealized gains and losses	
Short term (\$)	Long term (\$)
3,452.35	25,932.59
857.97	7,311.97



UBS Financial Services Inc.  
3200 NORTHLINE AVENUE  
SUITE 100  
GREENSBORO NC 27408-7600

## Resource Management Account

December 2010

**Your Financial Advisor:**

ROBERT C KETNER

Phone 336-854-7000/800-821-0355

**Questions about your statement?**

Call your Financial Advisor or the  
RMA ResourceLine at 800-RMA-1000,  
account 011017085.

**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

**Account name:** J HOWARD COBLE

**Account number:** [REDACTED]

**Your investment objectives:**

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**

Current income & capital appreciation

**Your risk profile:**

Primary - Moderate

Secondary - None selected

### Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	261,714.65	283,485.82
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$261,714.65</b>	<b>\$283,485.82</b>

### Change in the value of your account

	December 2010 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$261,714.65</b>	<b>\$0.00</b>
Deposits, including investments transferred in	1,819.37	270,557.04
Withdrawals and fees, including investments transferred out	-150.00	-150.00
Dividend and interest income	1,272.90	1,371.50
Change in market value	18,828.90	11,707.28
<b>Closing account value</b>	<b>\$283,485.82</b>	<b>\$283,485.82</b>

Member SIPC

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Account name:  
Account number:

J HOWARD COBLE

## Cash activity summary

See the section *Account activity this month* for details. UBS Bank USA deposit account balances are included in the *opening and closing balances* value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See *Important information about your statement* at the end of this document for details about those balances.

	December 2010 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$174.36</b>	<b>\$0.00</b>
<b>Additions</b>		
Deposits and other funds credited	1,819.37	2,744.62
Dividend and interest income	1,272.90	1,371.50
Proceeds from investment transactions	5,741.91	13,165.25
<b>Total additions</b>	<b>\$8,834.18</b>	<b>\$17,281.37</b>
<b>Subtractions</b>		
Annual fee	-150.00	-150.00
Funds withdrawn for investments bought	-6,861.80	-15,134.63
<b>Total subtractions</b>	<b>-\$7,011.80</b>	<b>-\$15,284.63</b>
<b>Net cash flow</b>	<b>\$1,822.38</b>	<b>\$1,996.74</b>
<b>Closing balances</b>	<b>\$1,996.74</b>	<b>\$1,996.74</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2010 (\$)	Year to date (\$)
<b>Taxable dividends</b>	<b>1,272.90</b>	<b>1,348.70</b>
<b>Private investment distributions</b>	<b>0.00</b>	<b>27.80</b>
<b>Total current year</b>	<b>\$1,272.90</b>	<b>\$1,371.50</b>
<b>Total dividend &amp; interest</b>	<b>\$1,272.90</b>	<b>\$1,371.50</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified

	Realized gains and losses		Unrealized
	December 2010 (\$)	Year to date (\$)	gains and losses (\$)
Short term	0.00	2,396.88	3,452.35
Long term	91.04	91.04	25,932.59
<b>Total</b>	<b>\$91.04</b>	<b>\$2,487.92</b>	<b>\$29,384.94</b>



Resource Management Account  
December 2010

Account name: J HOWARD COBLE  
Account number: [REDACTED]

Your Financial Advisor:  
ROBERT C KETNER  
336-854 7000/800-821-0355

## UBS Bank USA Deposit Account APY

*Interest period Nov 5 - Dec 6*

Opening UBS Bank USA Deposit balance Nov 5	\$872.49
Closing UBS Bank USA Deposit balance Dec 6	\$360.44
Number of days in interest period	32
Average daily balance	\$467.72
Interest earned	\$0.00
Annual percentage yield earned	0.00%



Resource Management Account  
December 2010

Account name: J HOWARD COBLE  
Account number: [REDACTED]

Your Financial Advisor:  
ROBERT C KFTNER  
836 854-7000/800-821-0355

## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

UBS Bank USA deposit account balances are included in the Cash and money balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Day in period
Cash	0.00	10.37				
UBS BANK USA DEP ACCT	174.36	1,986.37				
<b>Total</b>	<b>\$174.36</b>	<b>\$1,996.74</b>				

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ALCOA INC Symbol: AA Exchange: NYSE EAI: \$58 Current yield: 0.78%	May 19, 09	485.000	10.127	4,911.75 <sup>1</sup>	15.390	7,464.15	2,552.39	LT
AMER EXPRESS CO Symbol: AXP Exchange: NYSE EAI: \$90 Current yield: 1.68%	Jul 24, 01	125.000	34.057	4,257.13 <sup>1</sup>	42.920	5,365.00	1,107.87	LT
AMERICAN INTL GROUP INC COM NEW Symbol: AIG Exchange: NYSE EAI: \$56 Current yield: 1.99%	Mar 26, 08	5.000	965.172	4,825.86 <sup>1</sup>	57.620	288.10	-4,537.76	LT
APPLIED MATERIALS INC Symbol: AMAT Exchange: OTC EAI: \$56 Current yield: 1.99%	Nov 27, 01	200.000	22.058	4,411.63 <sup>1</sup>	14.050	2,810.00	-1,601.63	LT
AT&T INC Symbol: T Exchange: NYSE EAI: \$310 Current yield: 5.86%	Aug 13, 10	180.000	27.557	4,960.43 <sup>1</sup>	29.380	5,288.40	327.97	ST

continued next page



Account name: J HOWARD COBLE  
Account number: [REDACTED]

Your assets • **Equities • Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BANK OF AMER CORP</b>								
Symbol: BAC Exchange: NYSE								
EAI: \$19 Current yield: 0.30%	Nov 15, 10	480.000	12.098	5,995.38	13.340	6,403.20	407.82	ST
<b>BAXTER INTL INC</b>								
Symbol: BAX Exchange: NYSE								
EAI: \$136 Current yield: 2.44%	May 18, 10	110.000	44.785	4,926.44 <sup>1</sup>	50.620	5,568.20	641.76	ST
<b>BOSTON SCIENTIFIC CORP</b>								
Symbol: BSX Exchange: NYSE	Dec 14, 09	380.000	9.177	3,487.42 <sup>1</sup>	7.570	2,876.60	-610.82	LT
<b>CEPHALON INC</b>								
Symbol: CEPH Exchange: OTC	Apr 27, 06	75.000	67.430	5,057.28 <sup>1</sup>	61.720	4,629.00	-428.28	LT
<b>CISCO SYSTEMS INC</b>								
Symbol: CSCO Exchange: OTC	Jul 7, 10	220.000	22.691	4,992.20 <sup>1</sup>	20.230	4,450.60	-541.60	ST
<b>CITIGROUP INC</b>								
Symbol: C Exchange: NYSE	May 19, 09	1,230.000	3.982	4,897.92 <sup>1</sup>	4.730	5,817.90	919.98	LT
	Nov 15, 10	500.000	4.328	2,277.45	4.730	2,365.00	87.55	ST
Security total		1,730.000		7,175.37		8,182.50	1,007.13	
<b>CORNING INC</b>								
Symbol: GLW Exchange: NYSE								
EAI: \$49 Current yield: 1.04%	Nov 2, 06	245.000	20.822	5,101.61 <sup>1</sup>	19.320	4,753.40	-348.21	LT
<b>DONNELLEY (R.R.) &amp; SONS CO</b>								
Symbol: RRD Exchange: OTC								
EAI: \$234 Current yield: 5.95%	Dec 14, 09	225.000	22.500	5,062.50 <sup>1</sup>	17.470	3,930.75	-1,131.75	LT
<b>EMC CORP MASS</b>								
Symbol: EMC Exchange: NYSE	Nov 2, 06	175.000	12.607	2,206.26 <sup>1</sup>	22.900	4,007.50	1,801.24	LT
<b>FARPOINT COMMUNICATIONS INC</b>								
Symbol: FRCMO Exchange: OTC	Feb 19, 08	2.000	11.435	22.87 <sup>1</sup>	0.022	0.04	-22.83	LT
<b>FLEXTRONICS INTL LTD</b>								
Symbol: FLEX Exchange: OTC	Dec 14, 09	550.000	7.337	4,035.48 <sup>1</sup>	7.850	4,317.50	282.02	LT
<b>FOREST LABORATORIES</b>								
Symbol: FRX Exchange: NYSE	Oct 22, 08	215.000	23.155	4,978.51 <sup>1</sup>	31.980	6,875.70	1,897.19	LT
<b>FRONTIER COMMUNICATIONS CORP</b>								
Symbol: FTR Exchange: NYSE								
EAI: \$24 Current yield: 7.71%	Feb 19, 08	32.000	9.408	301.08 <sup>1</sup>	9.730	311.26	10.28	LT

continued next page



Resource Management Account  
December 2010

Account name: J HOWARD COBLE  
Account number: [REDACTED]

Your Financial Advisor:  
ROBERT C KETNER  
326 854-7000/800-821-0355

Your assets • **Equities • Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>GENL ELECTRIC CO</b>								
Symbol: GE Exchange: NYSE								
EAI: \$171 Current yield: 3.01%	Sep 8, 10	305.000	16.191	4,938.50 <sup>1</sup>	18.290	5,578.45	639.95	ST
<b>GILEAD SCIENCES INC</b>								
Symbol: GILD Exchange: OTC	Dec 16, 10	150.000	37.858	5,822.73	36.240	5,436.00	-386.73	ST
<b>GOODYEAR TIRE &amp; RUBBER CO</b>								
Symbol: GT Exchange: NYSE	May 18, 10	380.000	13.241	5,031.60 <sup>1</sup>	11.850	4,503.00	-528.60	ST
<b>INTEL CORP</b>								
Symbol: INTC Exchange: OTC								
EAI: \$158 Current yield: 3.01%	Apr 27, 06	250.000	20.716	5,179.20 <sup>1</sup>	21.030	5,257.50	78.30	LT
<b>KEYCORP NEW</b>								
Symbol: KEY Exchange: NYSE								
EAI: \$33 Current yield: 0.45%	Dec 14, 09	820.000	6.316	5,179.84 <sup>1</sup>	8.850	7,257.00	2,077.16	LT
<b>KNIGHT CAPITAL GROUP INC CL A</b>								
Symbol: KCG Exchange: NYSE	Jul 24, 01	500.000	10.135	5,067.89 <sup>1</sup>	13.790	6,895.00	1,827.11	LT
<b>LINCOLN NATL CORP IND</b>								
Symbol: UNC Exchange: NYSE								
EAI: \$53 Current yield: 0.72%	May 19, 09	265.000	18.617	4,933.70 <sup>1</sup>	27.810	7,369.65	2,435.95	LT
<b>MORGAN STANLEY</b>								
Symbol: MS Exchange: NYSE								
EAI: \$29 Current yield: 0.74%	May 18, 10	145.000	27.557	3,995.81 <sup>1</sup>	27.210	3,945.45	-50.36	ST
<b>PFIZER INC</b>								
Symbol: PFE Exchange: NYSE								
EAI: \$392 Current yield: 4.57%	Feb 1, 07	185.000	27.395	5,068.15 <sup>1</sup>	17.510	3,239.75	-1,828.80	LT
	Aug 13, 10	305.000	16.674	5,085.58 <sup>1</sup>	17.510	5,340.55	254.97	ST
Security total		490.000		10,153.73		8,579.00	-1,573.83	
<b>PROTECTIVE LIFE CORP</b>								
Symbol: PL Exchange: NYSE								
EAI: \$151 Current yield: 2.10%	Jan 8, 10	270.000	18.522	5,001.10 <sup>1</sup>	26.640	7,192.80	2,191.70	ST
<b>RESEARCH IN MOTION LTD CAD</b>								
Symbol: RIMM Exchange: OTC	Jan 14, 10	75.000	68.161	5,112.10 <sup>1</sup>	58.130	4,359.75	-752.35	ST
<b>SAVIENT PHARMACEUTICALS INC</b>								
Symbol: SVNT Exchange: OTC	Apr 18, 01	325.000	7.784	2,530.02 <sup>1</sup>	11.140	3,620.50	1,090.48	LT

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Account name: J HOWARD COBLE  
Account number: [REDACTED]

Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SCHWAB CHARLES CORP NEW Symbol: SCHW Exchange: NYSE EAI: \$65 Current yield: 1.41%	Nov 27, 01	270.000	15.893	4,291.14 <sup>1</sup>	17.110	4,619.70	328.56	LT
TEXTRON INC Symbol: TXT Exchange: NYSE EAI: \$20 Current yield: 0.33%	Sep 8, 10	255.000	19.238	4,905.91 <sup>1</sup>	23.640	6,028.20	1,122.29	ST
VERIZON COMMUNICATIONS INC Symbol: VZ Exchange: NYSE EAI: \$263 Current yield: 5.44%	Feb 19, 08	135.000	34.161	4,611.84 <sup>1</sup>	35.780	4,830.30	218.46	LT
<b>Total</b>				<b>\$153,464.32</b>		<b>\$162,975.60</b>	<b>\$9,511.28</b>	

Total estimated annual income: \$2,311

<sup>1</sup> Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
DAVIS NEW YORK VENTURE FD CL A Symbol: NYVTX									
Trade date: Feb 9, 96	317.000	15.834	5,019.50	5,019.50 <sup>1</sup>	34.340	10,885.78	5,866.28		LT
Trade date: Oct 10, 97	225.000	25.026	5,631.04	5,631.04 <sup>1</sup>	34.340	7,726.50	2,095.46		LT
Trade date: Oct 31, 97	210.000	23.755	4,988.65	4,988.65 <sup>1</sup>	34.340	7,211.40	2,222.75		LT
Trade date: Mar 23, 98	991.000	25.205	24,978.55	24,978.55 <sup>1</sup>	34.340	34,030.94	9,052.39		LT
Trade date: Dec 14, 05	40.000	33.940	1,357.60	1,357.60 <sup>1</sup>	34.340	1,373.60	16.00		LT
Trade date: Dec 14, 06	41.000	38.260	1,568.66	1,568.66 <sup>1</sup>	34.340	1,407.94	-160.72		LT
Trade date: Nov 27, 07	13.000	38.670	502.71	502.71 <sup>1</sup>	34.340	446.42	-56.29		LT
	43.000	—This information was unavailable—			34.340	1,476.62			
Total reinvested	252.086	28.933		7,293.74	34.340	8,656.63	1,362.89		
EAI: \$800 Current yield: 1.09%									

continued next page



Resource Management Account  
December 2010

Account name: J HOWARD COBLE  
Account number: [REDACTED]

Your Financial Advisor:  
ROBERT C KETNER  
P&G 354-7000/800-821-0755

Your assets • Equities • Mutual funds (continued)

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Security total	2,132.086		44,046.71	51,340.45		73,215.83	20,398.76		
FEDERATED KAUFMAN									
FUND CLASS A									
Symbol: KAUAX									
Trade date: Jan 14, 04	5,148.000	5.381	27,701.59	27,701.59 <sup>1</sup>	5.490	28,262.51	560.92		LT
Trade date: Nov 27, 07	163.000	5.900	961.70	961.70 <sup>1</sup>	5.490	894.87	-66.83		LT
Total reinvested	2,132.526	5.623		11,991.51	5.490	11,707.57	-283.94		
EAI: \$253 Current yield: 0.62%									
Security total	7,443.526		28,663.29	40,654.80		40,864.95	210.15	12,201.66	
Total			\$72,710.00	\$91,995.25		\$114,080.78	\$20,608.91	\$12,201.66	

Total estimated annual income: \$1,053

<sup>1</sup> Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments.  
Restricted security values are estimated for informational purposes. See *Important information about your statement* at the end of this document for additional information.

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ALLIANCE BERNSTEIN HLDG LP MLP								
Symbol: AB Exchange: NYSE								
EAI: \$91 Current yield: 2.05%	Dec 8, 09	190.000	27.199	5,167.95 <sup>1</sup>	23.330	4,432.70	-735.25	LT

<sup>1</sup> Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.



Account name: J HOWARD COBLE  
Account number: [REDACTED]

### Your assets (continued)

### Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	1,996.74	0.70%	1,996.74		
Equities	Common stock	162,975.60		153,464.32	2,311.00	9,511.28
	* Mutual funds	114,080.78		91,995.25	1,053.00	20,008.91
	Other equity investments	4,432.70		5,167.95	91.00	-735.25
	<b>Total equities</b>	<b>281,489.08</b>	<b>99.30%</b>	<b>250,627.52</b>	<b>3,455.00</b>	<b>29,384.94</b>
<b>Total</b>		<b>\$283,485.82</b>	<b>100.00%</b>	<b>\$252,624.26</b>	<b>\$3,455.00</b>	<b>\$29,384.94</b>

\* Missing cost basis information

### Account activity this month

	Date	Activity	Description	Amount (\$)
Deposits and other funds credited	Dec 9	Transfer	MISCELLANEOUS ADJUSTMENT	95.00
	Dec 28	Transfer	FM AD 17088 7100	1,714.00
	Dec 31	Transfer	FM AD 17088 7100	10.37
		<b>Total deposits and other funds credited</b>		<b>\$1,819.37</b>

	Date	Activity	Description	Amount (\$)
Dividend and interest income				
Taxable dividends	Dec 1	Dividend	INTEL CORP PAID ON 250	39.38
	Dec 1	Dividend	DONNELLEY (R.R.) & SONS CO PAID ON 225	58.50
	Dec 1	Dividend	PFIZER INC PAID ON 490	88.20
	Dec 2	Dividend	DAVIS NEW YORK VENTURE FD CL A	790.50
	Dec 15	Dividend	APPLIED MATERIALS INC PAID ON 200	14.00
	Dec 15	Dividend	KEYCORP NEW PAID ON 820	5.20
	Dec 17	Dividend	CORNING INC PAID ON 245	12.25
	Dec 27	Dividend	BANK OF AMER CORP PAID ON 730 AS OF 12/24/10	7.30
	Dec 30	Dividend	FEDERATED KAUFMAN FUND CLASS A	248.57
	Dec 30	Dividend	FRONTIER COMMUNICATIONS CORP PAID ON 32	5.00
		<b>Total taxable dividends</b>		<b>\$1,272.90</b>
		<b>Total dividend and interest income</b>		<b>\$1,272.90</b>



Resource Management Account  
December 2010

Account name: J HOWARD COBLE  
Account number: [REDACTED]

Your Financial Advisor:  
ROBERT C. KETNER  
376-854-7000/800-821-0355

Account activity this month (continued)

	Credit line	Available balance	Outstanding balance	Minimum payment	Payment due date
UBS Visa Signature credit card summary	\$5,000.00	\$5,000.00	\$0.00	\$0.00	Jan 23, 2011

We provide this information on your UBS Visa Signature credit cards solely as a courtesy to you. The UBS Visa Signature credit card and account are issued by Barclays Bank Delaware. Barclays Bank Delaware sends you the official statement for this account and you should make your payment according to their instructions.

We do not include your card balance in your total account value.

	Date	Activity	Description	Amount (\$)
Fees	Dec 6	Fee Charge	ANNUAL FEE CHARGE	-150.00
		Total annual fees		-\$150.00

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 2	Reinvestment	DAVIS NEW YORK VENTURE FD CL A DIVIDEND REINVESTED AT 32.82 NAV ON 12/01/10	24.086				-790.50	
Dec 21	Sold	BANK OF AMER CORP	-250.000		12.472000	3,001.70		
Dec 21	Sold	EMC CORP MASS	125.000		22.672000	2,740.21		
Dec 21	Bought	GILEAD SCIENCES INC	150.000		37.858000		5,872.73	
Dec 30	Reinvestment	FEDERATED KAUFMAN FUND CLASS A DIVIDEND REINVESTED AT 5.46 NAV ON 12/29/10	45.526				-248.57	
Total						\$5,741.91	-\$6,861.80	

	Date	Activity	Description	Amount (\$)
Money balance activities	Nov 30	Balance forward		\$174.36
	Dec 2	Deposit	UBS BANK USA DEPOSIT ACCOUNT	186.08
	Dec 7	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT	-150.00
	Dec 10	Deposit	UBS BANK USA DEPOSIT ACCOUNT	95.00
	Dec 16	Deposit	UBS BANK USA DEPOSIT ACCOUNT	27.20
	Dec 20	Deposit	UBS BANK USA DEPOSIT ACCOUNT	17.25
	Dec 21	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT	-80.83

continued next page



Account name: J HOWARD COBLE  
Account number: [REDACTED]

#### Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Dec 28	Deposit	UBS BANK USA DEPOSIT ACCOUNT	7.30
	Dec 29	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,714.00
	Dec 31	Deposit	UBS BANK USA DEPOSIT ACCOUNT	6.00
	Dec 31	Closing UBS Bank USA Deposit Account		\$1,986.37

The UBS Bank USA Deposit Account is your primary sweep option.

## Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *important information about your statement* at the end of this document for more information. We may not adjust gains and losses for all capital changes.

We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable point securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gains/loss calculation is not provided.

### Long-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
BANK OF AMER CORP	FIFO	250,000	Dec 08, 09	Dec 16, 10	3,001.70	4,074.97	-1,073.27		
EMC CORP MASS	FIFO	125,000	Nov 02, 06	Dec 16, 10	2,740.21	1,575.90		1,154.31	
Total					\$5,741.91	\$5,650.87	-\$1,073.27	\$1,154.31	\$91.04

#### Net capital gains/losses:

\$91.04

\* Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

SE04020 - Client Inquiry - Activity for [REDACTED] 05/09/11 16:47:01

Page 1 of 1

Acct: [REDACTED]

XXXXXXXXXXXX-0000-XXXX

Type: RMA    Status: Active    FA: ADRK    Features: HH,Premier

Address: J Howard Coble    Money Mgr: N/A    Objective: Curr Income & Cap App    Risk: Moderate    Activity as of (Intra): N/A    Activity as of: 05/06/11    Last Stmt: 04/11

[REDACTED]

[REDACTED]

Total Value: 296,527.12    Equity: 295,973.14    Money Funds/Sweep: 552.48    Debit/Credit Balance: 0.00    Funds Available: 89,905.48    Buying Power: 178,706.00

Generated On: 05/09/2011    Account# In View: AD17085    UBS Financial Services

Filtered by: Entry Date 01/01/2010-12/31/2010, Bought

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
12/16/10	12/21/10	BOUGHT	GILEAD SCIENCES INC Trade#:22533 Blot:38	GILD-196690	2	150.000	\$37.858	\$5,678.70
11/15/10	11/18/10	BOUGHT	BANK OF AMER CORP Trade#:68072 Blot:85	BAC-052591	2	480.000	\$12.098	\$5,807.16
11/15/10	11/18/10	BOUGHT	CITIGROUP INC Trade#:68636 Blot:60	C-2045U4	2	500.000	\$4.328	\$2,164.00

Total Bought Amount: - \$14,055.56

Page: 1 of 1 Rows: 1 - 3 of 3

<sup>1</sup> The "Total" is a sum of the values displayed in the "Amount" column.

<sup>2</sup> Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day. Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included Intraday.

This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.

SE04020 - Client Inquiry - Activity for [REDACTED] - 05/09/11 16:47:22

Page 1 of 1

Acct: [REDACTED]								
Type: RMA	Status: Active							
FA: ADRK	Features: HH,Premier							
Address: J Howard Coble								
Money Mgr: N/A	Objective: Curr Income & Cap App							
Risk: Moderate	Activity as of (Intra): N/A							
Activity as of: 05/06/11	Last Stmt: 04/11							
[REDACTED]								
Total Value:	Equity:							
296,527.12	295,973.14							
Money Funds/Sweep:	Debit/Credit Balance:							
552.48	0.00							
Funds Available:	Buying Power:							
89,905.48	178,706.00							
Generated On: 05/09/2011								
Account# in View: AD17085								
UBS Financial Services								
Filtered by: Entry Date 01/01/2010-12/31/2010, Sold								
Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
12/16/10	12/21/10	SOLD	BANK OF AMER CORP Trade#:19307 Blot:60	BAC-052591	2	-250.000	\$12.472	\$3,001.70
12/16/10	12/21/10	SOLD	EMC CORP MASS Trade#:21821 Blot:60	EMC-137499	2	-125.000	\$22.672	\$2,740.21
11/15/10	11/18/10	SOLD	KING PHARMACEUTICALS INC Trade#:65264 Blot:85	KG-2482F8	2	-540.000	\$14.153	\$7,421.34

<sup>1</sup> Total Sold Amount: \$13,185.25

Page: 1 of 1 Rows: 1 - 3 of 3

<sup>1</sup> The "Total" is a sum of the values displayed in the "Amount" column.

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SE04020 - Client Inquiry - Activity for [REDACTED] - 05/09/11 16:49:16

Page 1 of 1

Type: IRA Status: Active FA: ADRK Features: HH,Premier

Address: J Howard Coble Traditional IRA Money Mgr: N/A Objective: Curr Income &amp; Cap App Risk: Moderate Activity as of (Intra): N/A Activity as of: 05/06/11 Last Stmt: 04/11

Total Value: 38,428.46	Equity: 38,015.77	Money Funds/Sweep: 411.98	Debit/Credit Balance: 0.00	Funds Available: 411.98	Buying Power: 0.00
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Generated On: 05/09/2011

Account# In View: AD17088

UBS Financial Services

Filtered by: Entry Date 01/01/2010-12/31/2010, Bought

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
11/15/10	11/18/10	BOUGHT	BANK OF AMER CORP Trade#:71927 Blot:85	BAC-052591	1	320.000	\$12.088	-\$4,013.16

<sup>1</sup> Total Bought Amount: -\$4,013.16

Page: 1 of 1 Rows: 1 - 1 of 1

<sup>1</sup> The "Total" is a sum of the values displayed in the "Amount" column.

<sup>2</sup> Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day. Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.

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Type: IRA Status: Active FA: ADRK Features: HH,Premier

Address: J Howard Coble Traditional IRA Money Mgr: N/A Objective: Curr Income &amp; Cap App Risk: Moderate Activity as of (Intra): N/A Activity as of: 05/06/11 Last Stmt: 04/11

Total Value:	Equity:	Money Funds/Sweep:	Debit/Credit Balance:	Funds Available:	Buying Power:
38,428.46	38,015.77	411.98	0.00	411.98	0.00

Generated On: 05/09/2011

Account# in View: AD17088

UBS Financial Services

Filtered by: Entry Date 01/01/2010-12/31/2010, Sold

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
12/28/10	12/31/10	SOLD	EMC CORP MASS Trade#:30177 Blot:60	EMC-137499	1	-1.00	\$23.060	\$11.40
12/21/10	12/27/10	SOLD	EMC CORP MASS UNSOLICITED Trade#:40309 Blot:60	EMC-137499	1	-64.700	\$22.950	\$1,486.72
11/15/10	11/18/10	SOLD	KING PHARMACEUTICALS INC Trade#:71360 Blot:60	KG-2482E8	1	-300.000	\$14.155	\$4,097.39

<sup>1</sup> Total Sold Amount: \$5,509.01

Page: 1 of 1 Rows: 1 - 3 of 3

<sup>1</sup> The "Total" is a sum of the values displayed in the "Amount" column.<sup>2</sup> Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day. Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.

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UBS Financial Services Inc.  
3200 NORTHLINE AVENUE  
SUITE 100  
GREENSBORO NC 27408-7600

## Retirement Account

# Resource Management Account

December 2010

### Your Financial Advisor:

ROBERT C. KETNER

Phone: 336-854-7000/800-821-0355

### Questions about your statement?

Call your Financial Advisor or the  
RMA ResourceLine at 800-RMA-1000,  
account 011017088.

### Visit our website:

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

### Your investment objectives:

You have identified the following  
investment objectives for this account. If  
you have questions about these  
objectives, disagree with them, or wish to  
change them, please contact your  
Financial Advisor or Branch Manager. You  
can find a full description of the  
alternative investment objectives in  
*Important information about your  
statement* at the end of this document.

### Your return objective:

Current income & capital appreciation

### Your risk profile:

Primary - Moderate

Secondary - None selected

Account name: J HOWARD COBLE

TRADITIONAL IRA

Account type: Individual Retirement Account

Account number: [REDACTED]

## Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	34,247.40	36,234.59
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$34,247.40</b>	<b>\$36,234.59</b>

### Your account instructions

- UBS Financial Services Inc. is your custodian.
- Your account is approved for normal distributions.

## Change in the value of your account

	December 2010 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$34,247.40</b>	<b>\$0.00</b>
Deposits, including investments transferred in	75.00	36,305.97
Withdrawals and fees, including investments transferred out	-1,724.37	-1,724.37
Dividend and interest income	79.06	101.56
Change in market value	3,557.50	1,551.43
<b>Closing account value</b>	<b>\$36,234.59</b>	<b>\$36,234.59</b>



Account name:  
Account number:

J HOWARD COBLE

## Cash activity summary

See the section *Account activity this month* for details. UBS Bank USA deposit account balances are included in the opening and closing balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See *Important information about your statement* at the end of this document for details about those balances.

	December 2010 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$183.28</b>	<b>\$0.00</b>
<i>Additions</i>		
Deposits and other funds credited	75.00	151.55
Dividend and interest income	79.06	101.56
Proceeds from investment transactions	1,411.62	5,509.01
<b>Total additions</b>	<b>\$1,565.68</b>	<b>\$5,762.12</b>
<i>Subtractions</i>		
Other funds debited	-1,724.37	-1,724.37
Funds withdrawn for investments bought	23.56	-4,036.72
<b>Total subtractions</b>	<b>-\$1,747.93</b>	<b>-\$5,761.09</b>
<b>Net cash flow</b>	<b>-\$182.25</b>	<b>\$1.03</b>
<b>Closing balances</b>	<b>\$1.03</b>	<b>\$1.03</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2010 (\$)	Year to date (\$)
<b>Dividends</b>	<b>79.06</b>	<b>91.96</b>
<b>Private investment distributions</b>	<b>0.00</b>	<b>9.60</b>
<b>Total current year</b>	<b>\$79.06</b>	<b>\$101.56</b>
<b>Total dividend &amp; interest</b>	<b>\$79.06</b>	<b>\$101.56</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified

	Realized gains and losses		Unrealized gains and losses (\$)
	December 2010 (\$)	Year to date (\$)	
Short term	0.00	0.00	857.97
Long term	529.15	1,314.13	-7,311.97
<b>Total</b>	<b>\$529.15</b>	<b>\$1,314.13</b>	<b>-\$6,454.00</b>



Resource Management Account  
December 2010

Account name: J HOWARD COBLE  
Account type: Individual Retirement Account  
Account number: [REDACTED]

Your Financial Advisor:  
ROBERT C KETNER  
326 854-7000/800-921-0355

## UBS Bank USA Deposit Account APY

Interest period Nov 5 - Dec 6

Opening UBS Bank USA Deposit balance Nov 5	\$76.55
Closing UBS Bank USA Deposit balance Dec 6	\$225.08
Number of days in interest period	32
Average daily balance	\$139.09
Interest earned	\$0.00
Annual percentage yield earned	0.00%

## Beneficiaries you've named for this account

The beneficiaries listed below reflect the information in our records as of the end of this statement period. If this information is not correct, or you wish to change your beneficiaries, please contact your Financial Advisor. Beneficiary changes are not effective unless you give them to us in writing and we accept them. If you live in a community property state, your beneficiary designation may be subject to community property laws.

Percentage	Beneficiary	Contingent beneficiaries
100.00%	Estate	

## Summary of your contributions

Contribution type shown in this section reflects information you provided to us, and we are not responsible for its accuracy. Note that employer and employee contributions are reported to the IRS for the tax year which they are made.

Contribution type	Current period (\$)	Tax year 2010 (\$)	Tax year 2009 (\$)
Transfers	0.00	36,230.97	0.00

## Retirement services information

### 2010 Year-End valuation statement - Form 5498

Retain this statement as your year-end valuation. If your Asset portfolio includes insurance products, unpriced Private investments or if you own Outside assets that do not appear on this statement, we will automatically provide you with an updated year-end valuation in January 2011. This 2010 year-end value of your IRA (or the updated value, if applicable) will be furnished to the Internal Revenue Service.

December 31 value \$36,234.59

## Summary of your distributions

Distribution type	Current period (\$)	Tax year 2010 (\$)	Tax year 2009 (\$)
Normal distributions	1,724.37	1,724.37	0.00



Resource Management Account  
December 2010

Account name: J HOWARD COBLE  
Account type: Individual Retirement Account  
Account number: [REDACTED]

Your Financial Advisor:  
ROBERT C KETNER  
826-854-7000/800-821-0765

## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	1.03				
UBS BANK USA DEP ACCT	183.28	0.00				
<b>Total</b>	<b>\$183.28</b>	<b>\$1.03</b>				

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMERICAN INTL GROUP INC COM NEW								
Symbol: AIG Exchange: NYSE	Mar 26, 08	3,000	926.313	2,778.94 <sup>1</sup>	57.620	172.86	-2,606.08	LT
APPLIED MATERIALS INC								
Symbol: AMAT Exchange: OTC								
EAI: \$42 Current yield: 1.99%	Nov 27, 01	150,000	22.137	3,320.57 <sup>1</sup>	14.050	2,107.50	-1,213.07	LT
ATMEL CORP								
Symbol: ATML Exchange: OTC	Sep 19, 00	225,000	19.062	4,288.96 <sup>1</sup>	12.320	2,772.00	-1,516.96	LT
BANK OF AMER CORP								
Symbol: BAC Exchange: NYSE								
EAI: \$13 Current yield: 0.30%	Nov 15, 10	320,000	12.088	4,013.16	13.340	4,268.80	255.64	ST
CITIGROUP INC								
Symbol: C Exchange: NYSE	Jul 7, 10	450,000	4.112	1,850.43 <sup>1</sup>	4.730	2,128.50	278.07	ST
DONNELLEY (R.R.) & SONS CO								
Symbol: RRD Exchange: NYSE								
EAI: \$99 Current yield: 5.97%	Mar 26, 08	95,000	30.457	2,893.50 <sup>1</sup>	17.470	1,659.65	-1,233.85	LT
EMC CORP MASS								
Symbol: EMC Exchange: NYSE	Sep 19, 08	195,000	13.576	2,647.40 <sup>1</sup>	22.900	4,465.50	1,818.10	LT

continued next page



Account name: J HOWARD COBLE  
Account number: [REDACTED]

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FLEXTRONICS INTL LTD Symbol: FLEX Exchange: OTC	Feb 1, 07	210.000	12.145	2,550.52 <sup>1</sup>	7.850	1,648.50	-902.02	LT
GENL ELECTRIC CO Symbol: GE Exchange: NYSE EAI: \$90 Current yield: 3.08%	Sep 8, 10	160.000	16.266	2,602.65 <sup>1</sup>	18.290	2,926.40	323.75	ST
MICRON TECHNOLOGY INC Symbol: MU Exchange: OTC	Apr 22, 03	250.000	9.815	2,453.93 <sup>1</sup>	8.020	2,005.00	-448.93	LT
PFIZER INC Symbol: PFE Exchange: NYSE EAI: \$76 Current yield: 4.57%	Feb 1, 07	95.000	27.574	2,619.59 <sup>1</sup>	17.510	1,663.45	-956.14	LT
SAVIENT PHARMACEUTICALS INC Symbol: SVNT Exchange: OTC	Apr 12, 02	150.000	5.411	811.70 <sup>1</sup>	11.140	1,671.00	859.30	LT
SCHWAB CHARLES CORP NEW Symbol: SCHW Exchange: NYSE EAI: \$52 Current yield: 1.41%	Nov 27, 01	215.000	15.923	3,423.61 <sup>1</sup>	17.110	3,678.65	255.04	LT
<b>Total</b>				<b>\$36,254.96</b>		<b>\$31,167.81</b>	<b>-\$5,087.15</b>	

Total estimated annual income: \$372

<sup>1</sup> Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

Mutual funds

Total reinvested<sup>1</sup> is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis<sup>1</sup> is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss<sup>1</sup> is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return<sup>1</sup> is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
DAVIS NEW YORK VENTURE FD CL A Symbol: NYVTX									
Total reinvested	25.286	28.023		708.60	34.340	868.32	159.72	868.32	
EAI: \$9 Current yield: 1.04%									
FEDERATED KAUFMAN FUND CLASS A									

continued next page



Resource Management Account  
December 2010

Account name: J HOWARD COBLE  
Account type: Individual Retirement Account  
Account number: [REDACTED]

Your Financial Advisor:  
ROBERT C KETNER  
326-854 7000/800-821-0355

### Your assets • Equities • Mutual funds (continued)

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (%)	Holding period
Symbol: KAUAX									
Trade date: Jan 14, 04	254.000	5.392	1,369.78	1,369.78 <sup>1</sup>	5.490	1,394.46	24.68		LT
Total reinvested	170.597	5.632		960.84	5.490	936.57	-24.27		
EAI: \$14 Current yield: 0.60%									
Security total	424.597		1,369.78	2,330.62		2,331.03	0.41	961.25	
<b>Total</b>			<b>\$1,369.78</b>	<b>\$3,039.22</b>		<b>\$3,199.35</b>	<b>\$160.13</b>	<b>\$1,829.57</b>	

Total estimated annual income: \$23

<sup>1</sup> Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

### Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments.  
Restricted security values are estimated for informational purposes. See *Important information about your statement* at the end of this document for additional information.

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ALLIANCE BERNSTEIN HLDG LP MLP								
Symbol: AB Exchange: NYSE								
EAI: \$38 Current yield: 2.04%	Sep 19, 08	80.000	42.417	3,393.38 <sup>1</sup>	23.330	1,866.40	1,526.98	LT

<sup>1</sup> Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

### Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
<b>Cash</b>					
Cash and money balances	1.03		1.03		
<b>Equities</b>					
Common stock	31,167.81		36,254.96	372.00	5,087.15
Mutual funds	3,199.35		3,039.22	23.00	160.13
Other equity investments	1,866.40		3,393.38	38.00	-1,526.98
<b>Total equities</b>	<b>36,233.56</b>	<b>100.00%</b>	<b>42,687.56</b>	<b>433.00</b>	<b>-6,454.00</b>
<b>Total</b>	<b>\$36,234.59</b>	<b>100.00%</b>	<b>\$42,688.59</b>	<b>\$433.00</b>	<b>-6,454.00</b>



Account name: J HOWARD COBLE  
Account number: [REDACTED]

## Account activity this month

	Date	Activity	Description	Amount (\$)
Deposits and other funds credited	Dec 9	Deposit	INVESTMENT EARNINGS	75.00
	Total deposits and other funds credited			\$75.00

	Date	Activity	Description	Amount (\$)
Dividend and interest income Dividends	Dec 1	Dividend	DONNELLEY (R.R.) & SONS CO PAID ON 95	24.70
	Dec 1	Dividend	PFIZER INC PAID ON 95	17.10
	Dec 2	Dividend	DAVIS NEW YORK VENTURE FD CL A	9.38
	Dec 15	Dividend	APPLIED MATERIALS INC PAID ON 150	10.50
	Dec 27	Dividend	BANK OF AMER CORP PAID ON 320 AS OF 12/24/10	3.20
	Dec 30	Dividend	FEDERATED KAUFMAN FUND CLASS A	14.18
	Total dividends			\$79.06
	Total dividend and interest income			\$79.06

	Date	Activity	Description	Amount (\$)
Other funds debited	Dec 28	Distribution Current Year	NORMAL DISTRIBUTION	-1,714.00
	Dec 31	Distribution Current Year	NORMAL DISTRIBUTION	-10.37
	Total other funds debited			-\$1,724.37

### Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 2	Reinvestment	DAVIS NEW YORK VENTURE FD CL A DIVIDEND REINVESTED AT 32.82 NAV ON 12/01/10	0.286				9.38	
Dec 27	Sold	EMC CORP MASS UNSOLICITED	-64.000		22.95	1,400.22		
Dec 30	Reinvestment	FEDERATED KAUFMAN FUND CLASS A DIVIDEND REINVESTED AT 5.46 NAV ON 12/29/10	2.597				14.18	
Dec 31	Sold	EMC CORP MASS	-1.000		23.06	11.40		
Total						\$1,411.62	-\$23.56	





Resource Management Account  
December 2010

Account name: J HOWARD COBLE  
Account type: Individual Retirement Account  
Account number: [REDACTED]

Your Financial Advisor:  
ROBERT C KETNER  
336-854-7000/800-821-0355

#### Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities	Nov 30	Balance forward		\$183.28
	Dec 2	Deposit	UBS BANK USA DEPOSIT ACCOUNT	41.80
	Dec 10	Deposit	UBS BANK USA DEPOSIT ACCOUNT	75.00
	Dec 16	Deposit	UBS BANK USA DEPOSIT ACCOUNT	10.50
	Dec 28	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,403.42
	Dec 29	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/28/10	-1,714.00
	Dec 31	Closing UBS Bank USA Deposit Account		\$0.00

The UBS Bank USA Deposit Account is your primary sweep option.

## Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more information. We may not adjust gains and losses for all capital changes.

We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unrealized* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

## Long-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
EMC CORP MASS	FIFO	1,000	Sep 19, 08	Dec 28, 10	11.40	13.58	-2.18		
	FIFO	64,000	Sep 19, 08	Dec 21, 10	1,400.22	868.89		531.33	
<b>Total</b>					<b>\$1,411.62</b>	<b>\$882.47</b>	<b>-\$2.18</b>	<b>\$531.33</b>	<b>\$529.15</b>
<b>Net capital gains/losses:</b>									<b>\$529.15</b>

<sup>1</sup> Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

Ref: 00001708 00052008

**J HOWARD COBLE**

**Account Number** [REDACTED] **SS #** [REDACTED]

**Payer**

**CITIGROUP GLOBAL MKTS INC.**  
**388 GREENWICH STREET**  
**NEW YORK, NEW YORK 10013**  
**Attn: Tax Reporting Service Center**  
**Tax ID Number 11-2418191**

**Your Financial Advisor**

**RICHARD K POLSON**  
**MORGANSTANLEY SMITHBARNEY LLC**  
**WACHOVIA TOWER**  
**300 N GREENE ST-17FL**  
**GREENSBORO NC 27401**  
**Branch Office : 336 271 4336**  
**Email: richard.k.polson@mssb.com**

**J HOWARD COBLE**  
**2102 N. ELM STREET, SUITE B**  
**GREENSBORO NC**  
**27408-5100**

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

**1099-B Proceeds from Broker and Barter Exchange Transactions 2010**

(OMB#1545-0715)

Gross proceeds from each transaction are individually reported to the IRS after deducting commissions. For bond transactions, accrued income is also deducted. Taxable accrued interest is reported on Form 1099-INT and included in your "Details of 1099 Reported Interest Income".

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120000200	07/07/10	33733C108000	12	FIRST TRUST MULTI CAP VALUE ALPHADEx FUND	\$ 22.63	\$ 271.55	
120000300	07/08/10	33733C108000	12	FIRST TRUST MULTI CAP VALUE ALPHADEx FUND	22.86	274.31	
120001000	05/13/10	33733E203000	4	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDx FD	33.635	134.53	
120001100	05/24/10	33733E203000	3	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDx FD	31.539	94.61	
120001500	12/02/10	33733E203000	22	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDx FD	35.076	771.66	
120001600	12/03/10	33733E203000	11	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDx FD	35.267	387.94	
120001700	12/03/10	33733E203000	12	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDx FD	35.267	423.20	
120001800	01/06/10	33733E302000	10	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDx FD	25.351	253.50	



Ref: 00001708 00052009

J HOWARD COBLE

Account Number [REDACTED]

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**1099-B Proceeds from Broker and Barter Exchange Transactions 2010 - continued**

(OMB#1545-0715)

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120001900	05/13/10	33733E302000	2	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD	\$ 26.941	\$ 53.87	
120002000	05/24/10	33733E302000	3	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD	25.27	75.80	
120002300	01/06/10	33733E401000	9	FIRST TRUST ETF NASDAQ-100 EX-TECH SECTOR INDES FD	17.964	161.67	
120002400	03/03/10	33733E401000	37	FIRST TRUST NASDAQ-100 EX-TECH SECTOR INDEX FD	18.281	676.40	
120002500	03/04/10	33733E401000	38	FIRST TRUST NASDAQ-100 EX-TECH SECTOR INDEX FD	18.282	694.73	
120003200	05/13/10	33734G108000	8	FIRST TRUST S&P REIT INDEX FD	14.051	112.40	
120004000	04/06/10	33734J102000	22	FIRST TR ISE REVERE NAT GAS INDEX FUND	18.405	404.90	
120004100	01/06/10	33734X101000	19	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FD	15.391	292.44	
120004200	05/13/10	33734X101000	8	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FD	17.584	140.67	
120004300	05/24/10	33734X101000	5	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FD	16.203	81.01	
120004800	05/11/10	33734X127000	38	FIRST TRUST ENERGY ALPHADEX FUND	16.932	643.40	
120004900	05/11/10	33734X127000	19	FIRST TRUST ENERGY ALPHADEX FUND	16.932	321.70	
120005000	05/21/10	33734X127000	20	FIRST TRUST ENERGY ALPHADEX FUND	15.30	305.99	
120005100	01/06/10	33734X135000	18	FIRST TRUST FINANCIALS ALPHADEX FUND	12.60	226.81	



Ref: 00001708 00052010

J HOWARD COBLE

Account Number [REDACTED] SS # [REDACTED]

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## 1099-B Proceeds from Broker and Barter Exchange Transactions 2010 - continued

(OMB#1545-0715)

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120005200	03/09/10	33734X135000	2	FIRST TRUST FINANCIALS ALPHADEX FUND	\$ 13.066	\$ 26.12	
120005300	05/13/10	33734X135000	3	FIRST TRUST FINANCIALS ALPHADEX FUND	13.699	41.09	
120005400	05/24/10	33734X135000	6	FIRST TRUST FINANCIALS ALPHADEX FUND	12.62	75.71	
120005500	06/16/10	33734X135000	15	FIRST TRUST FINANCIALS ALPHADEX FUND	12.999	194.99	
120005600	07/08/10	33734X135000	15	FIRST TRUST FINANCIALS ALPHADEX FUND	11.967	179.51	
120005700	08/03/10	33734X135000	1	FIRST TRUST FINANCIALS ALPHADEX FUND	13.161	13.15	
120005800	08/25/10	33734X135000	32	FIRST TRUST FINANCIALS ALPHADEX FUND	12.339	394.87	
120005900	08/27/10	33734X135000	32	FIRST TRUST FINANCIALS ALPHADEX FUND	12.532	401.03	
120006000	01/06/10	33734X143000	13	FIRST TRUST HEALTH CARE ALPHADEX FUND	21.95	285.34	
120006100	03/09/10	33734X143000	1	FIRST TRUST HEALTH CARE ALPHADEX FUND	22.914	22.90	
120006200	05/13/10	33734X143000	1	FIRST TRUST HEALTH CARE ALPHADEX FUND	23.471	23.46	
120006300	05/24/10	33734X143000	32	FIRST TRUST HEALTH CARE ALPHADEX FUND	22.219	710.99	
120006500	08/25/10	33734X143000	9	FIRST TRUST HEALTH CARE ALPHADEX FUND	21.255	191.29	
120006600	08/27/10	33734X143000	19	FIRST TRUST HEALTH CARE ALPHADEX FUND	21.269	404.11	



Ref: 00001708 00052011

J HOWARD COBLE

Account Number

SS #

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**1099-B Proceeds from Broker and Barter Exchange Transactions 2010 - continued**

(OMB#1545-0045)

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120006900	01/06/10	33734X168000	16	FIRST TRUST MATERIALS ALPHADEX FUND	\$ 19.795	\$ 316.72	
120007000	05/13/10	33734X168000	3	FIRST TRUST MATERIALS ALPHADEX FUND	21.328	63.97	
120007100	05/24/10	33734X168000	3	FIRST TRUST MATERIALS ALPHADEX FUND	19.452	58.35	
120007500	01/06/10	33734X176000	14	FIRST TRUST TECHNOLOGY ALPHADEX FUND	18.22	255.07	
120007600	05/13/10	33734X176000	2	FIRST TRUST TECHNOLOGY ALPHADEX FUND	19.229	38.45	
120007700	05/24/10	33734X176000	5	FIRST TRUST TECHNOLOGY ALPHADEX FUND	17.953	89.76	
120008400	05/13/10	337345102000	3	FIRST TRUST NASDAQ-100 TECH INDEX FUND	22.182	66.54	
120008500	05/24/10	337345102000	33	FIRST TRUST NASDAQ-100 TECH INDEX FUND	20.615	680.28	
120008600	08/25/10	337345102000	9	FIRST TRUST NASDAQ-100 TECH INDEX FUND	20.138	181.24	
120008700	08/27/10	337345102000	20	FIRST TRUST NASDAQ-100 TECH INDEX FUND	20.168	403.36	
120008900	02/02/10	33736N101000	10	FIRST TR EPRA/NAREIT DEVEL MKTS R/E INDEX FD	28.732	287.32	
120009000	02/05/10	33736N101000	11	FIRST TR EPRA/NAREIT DEVEL MKTS R/E INDEX FD	27.907	306.97	
120009300	08/25/10	33737C104000	7	FIRST TRUST ISE GLBL PLATINUM INDEX FUND	24.40	170.79	



Ref: 00001708 00052012

J HOWARD COBLE

Account Number [REDACTED] SS # [REDACTED]

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## 1099-B Proceeds from Broker and Barter Exchange Transactions 2010 -continued

(OMB#1545-0715)

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120009700	08/03/10	395258106000	1	GREENHAVEN CONTINUOUS COMMODITY INDEX FD UNIT BEN INT	\$ 26.503	\$ 26.49	
120009800	11/09/10	395258106000	5	GREENHAVEN CONTINUOUS COMMODITY INDEX FD UNIT BEN INT	33.50	167.49	
120010000	12/31/10	395258106000	7	GREENHAVEN CONTINUOUS COMMODITY INDEX FD UNIT BEN INT	32.747	229.22	
120010100	12/31/10	395258106000	21	GREENHAVEN CONTINUOUS COMMODITY INDEX FD UNIT BEN INT	32.747	687.68	
120010200	12/31/10	395258106000	8	GREENHAVEN CONTINUOUS COMMODITY INDEX FD UNIT BEN INT	32.747	261.97	
120010300	01/06/10	464287226000	3	ISHARES BARCLAYS US AGGR BOND FD	103.562	310.68	
120010600	08/03/10	464287242000	5	ISHARES IBOX \$ INVESTOP INVESTMENT GRADE CORP FD FD	109.855	549.27	
120010700	08/24/10	464287242000	1	ISHARES IBOX \$ INVESTOP INVESTMENT GRADE CORP FD FD	112.798	112.79	
120010800	10/06/10	464287242000	3	ISHARES IBOX \$ INVESTOP INVESTMENT GRADE CORP FD FD	113.133	339.39	
120011100	06/16/10	464287457000	23	ISHARES BARCLAYS 1-3 YEAR TREAS BOND FUND	83.831	1,928.09	
120011700	02/24/10	67071S101000	11	NUVEEN QUALITY PFD INCOME FD	6.87	75.56	
120011800	02/25/10	67071S101000	12	NUVEEN QUALITY PFD INCOME FD	6.89	82.67	
120011900	03/01/10	67071S101000	23	NUVEEN QUALITY PFD INCOME FD	7.01	161.22	



Ref: 00001708 00052013

J HOWARD COBLE

Account Number

SS #

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**1099-B Proceeds from Broker and Barter Exchange Transactions 2010 - continued**

(OMB#1545-0745)

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120012000	02/25/10	67072C105000	10	NUVEEN QUALITY PFD INCOME FD 2	\$ 7.66	\$ 76.59	
120012100	03/09/10	67072C105000	33	NUVEEN QUALITY PFD INCOME FD 2	7.85	259.04	
120012500	05/11/10	73936Q108000	16	POWERSHARES DWA DEVELOPED MKTS TECHNICAL LEADERS PORT	17.857	285.71	
120012600	05/11/10	73936Q108000	17	POWERSHARES DWA DEVELOPED MKTS TECHNICAL LEADERS PORT	17.857	303.57	
120014600	05/11/10	74347R248000	8	PROSHARES CREDIT SUISSE 130/30	52.222	417.77	
120014700	05/11/10	74347R248000	8	PROSHARES CREDIT SUISSE 130/30	52.222	417.77	
120014900	02/09/10	761396605000	13	REVENUESHARES ADR FUND	33.75	438.74	
120015000	02/10/10	761396605000	14	REVENUESHARES ADR FUND	33.619	470.66	
120015200	07/08/10	761396605000	15	REVENUESHARES ADR FUND	30.704	460.56	
120015900	04/06/10	78464A516000	5	SPDR LEHMAN INTL TREASURY BOND FUND	55.592	277.95	
120016000	04/07/10	78464A516000	6	SPDR LEHMAN INTL TREASURY BOND FUND	55.415	332.48	
<b>Totals</b>						<b>\$ 21,359.83</b>	



Ref: 00001708 00052019

J HOWARD COBLE

Account Number [REDACTED]

### Details of Short Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	12	FIRST TRUST MULTI CAP VALUE ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	06/16/10	07/01/10	\$ 271.55	\$ 301.10	(\$ 29.55)	
125000020	12	FIRST TRUST MULTI CAP VALUE ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	06/16/10	07/02/10	274.31	301.09	(26.78)	
125000030	4	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDX FD MorganStanley SmithBarney LLC acted as your agent	07/22/09	05/13/10	134.53	99.12		35.41
125000040	3	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDX FD MorganStanley SmithBarney LLC acted as your agent	07/22/09	05/24/10	94.61	74.34		20.27
125000060	2	FIRST TR EXCH TRADED FD FIRST	01/06/10	12/03/10	70.53	58.42		12.11
	5	TR AMEX BIOTECHNOLOGY INDX FD	07/26/10		176.33	163.57		12.76
	1	MorganStanley SmithBarney LLC	08/03/10		35.27	32.90		2.37
	1	acted as your agent	08/11/10		35.27	32.30		2.97
125000080	10	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD MorganStanley SmithBarney LLC acted as your agent	02/26/09	01/06/10	253.50	140.75		112.75
125000130	6	FIRST TRUST NASDAQ-100 EX-TECH	03/16/09	03/04/10	109.69	64.92		44.77
	11	SECTOR INDEX FD MorganStanley SmithBarney LLC acted as your agent	04/15/09		201.11	137.24		63.87
125000140	8	FIRST TRUST S&P REIT INDEX FD MorganStanley SmithBarney LLC acted as your agent	01/06/10	05/13/10	112.40	93.38		19.02

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Ref: 00001708 00052020

J HOWARD COBLE

Account Number [REDACTED]

## Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000150	11	FIRST TR ISE REVERE NAT GAS	03/04/10	04/06/10	\$ 202.45	\$ 199.09		\$ 3.36
	11	INDEX FUND MorganStanley SmithBarney LLC acted as your agent	03/04/10		202.45	199.09		3.36
125000160	19	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FD MorganStanley SmithBarney LLC acted as your agent	04/22/09	01/06/10	292.44	202.88		89.56
125000190	38	FIRST TRUST ENERGY ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	01/06/10	05/11/10	643.40	683.01	(39.61)	
125000200	19	FIRST TRUST ENERGY ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	01/06/10	05/11/10	321.70	341.51	(19.81)	
125000210	17	FIRST TRUST ENERGY	01/06/10	05/21/10	260.09	305.56	(45.47)	
	3	ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	03/09/10		45.90	52.65	(6.75)	
125000220	18	FIRST TRUST FINANCIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	04/15/09	01/06/10	226.81	150.35		76.46
125000230	2	FIRST TRUST FINANCIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	04/15/09	03/09/10	26.12	16.71		9.41
125000360	1	FIRST TRUST HEALTH CARE ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	07/26/10	08/27/10	21.27	21.85	(.58)	
125000400	14	FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	07/30/09	01/06/10	255.07	205.53		49.54

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Ref: 00001708 00052021

J HOWARD COBLE

Account Number [REDACTED]

**Details of Short Term Gain (Loss) 2010 - continued**

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000410	2	FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	07/30/09	05/13/10	\$ 38.45	\$ 29.36		\$ 9.09
125000420	5	FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	07/30/09	05/24/10	89.76	73.41		16.35
125000430	3	FIRST TRUST NASDAQ-100 TECH INDEX FUND MorganStanley SmithBarney LLC acted as your agent	03/03/10	05/13/10	66.54	62.73		3.81
125000440	29 4	FIRST TRUST NASDAQ-100 TECH INDEX FUND MorganStanley SmithBarney LLC acted as your agent	03/03/10 03/04/10	05/24/10	597.82 82.46	606.39 83.48	(8.57) (1.02)	
125000450	9	FIRST TRUST NASDAQ-100 TECH INDEX FUND MorganStanley SmithBarney LLC acted as your agent	03/04/10	08/25/10	181.24	187.83	(6.59)	
125000460	20	FIRST TRUST NASDAQ-100 TECH INDEX FUND MorganStanley SmithBarney LLC acted as your agent	03/04/10	08/27/10	403.36	417.40	(14.04)	
125000470	10	FIRST TR EPRA/NAREIT DEVEL MKTS R/E INDEX FD MorganStanley SmithBarney LLC acted as your agent	07/21/09	02/02/10	287.32	256.84		30.48
125000480	3 6 2	FIRST TR EPRA/NAREIT DEVEL MKTS R/E INDEX FD MorganStanley SmithBarney LLC acted as your agent	07/21/09 08/05/09 01/06/10	02/05/10	83.72 167.44 55.81	77.05 167.70 61.95	 (.26) (6.14)	6.67
125000490	3 4	FIRST TRUST ISE GBL PLATINUM INDEX FUND MorganStanley SmithBarney LLC acted as your agent	05/20/10 06/04/10	08/25/10	73.20 97.59	73.69 102.20	(.49) (4.61)	

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Ref: 00001708 00052022

J HOWARD COBLE

Account Number [REDACTED]

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000520	12	GREENHAVEN CONTINUOUS	01/06/10	12/31/10	\$ 392.96	\$ 326.88		\$ 66.08
	3	COMMODITY INDEX FD	03/09/10		98.24	76.46		21.78
	1	UNIT BEN INT	05/13/10		32.75	25.44		7.31
	1	MorganStanley SmithBarney LLC	12/15/10		32.74	31.38		1.36
125000550	3	ISHARES BARCLAYS US AGGR BOND FD MorganStanley SmithBarney LLC acted as your agent	06/18/09	01/06/10	310.68	303.70		6.98
125000590	13	ISHARES BARCLAYS 1-3 YEAR	05/13/10	06/16/10	1,089.79	1,086.91		2.88
	10	TREAS BOND FUND MorganStanley SmithBarney LLC acted as your agent	05/24/10		838.30	838.45	(.15)	
125000600	11	NUVEEN QUALITY PFD INCOME FD MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/03/09	02/24/10	75.56	66.12		9.44
125000610	4	NUVEEN QUALITY PFD INCOME FD	11/03/09	02/25/10	27.56	24.05		3.51
	8	MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/11/09		55.11	50.80		4.31
125000620	7	NUVEEN QUALITY PFD INCOME FD	11/11/09	03/01/10	49.07	44.45		4.62
	15	MorganStanley SmithBarney LLC	11/13/09		105.14	94.50		10.64
	1	acted as your agent in this transaction.	01/06/10		7.01	6.60		.41
125000630	10	NUVEEN QUALITY PFD INCOME FD 2 MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/03/09	02/25/10	76.59	66.10		10.49
125000640	4	NUVEEN QUALITY PFD INCOME FD 2	11/03/09	03/09/10	31.40	26.44		4.96
	14	MorganStanley SmithBarney LLC	11/11/09		109.90	96.19		13.71
	15	acted as your agent in this transaction.	11/13/09		117.74	100.50		17.24
125000650	3	POWERSHARES DWA DEVELOPED MKTS	04/06/10	05/11/10	53.57	59.42	(5.85)	
	14	TECHNICAL LEADERS PORT MorganStanley SmithBarney LLC acted as your agent	04/07/10		250.00	274.96	(24.96)	

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Ref: 00001708 00052023

J HOWARD COBLE

Account Number [REDACTED]

Details of Short Term Gain (Loss) 2010 *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000660	9	POWERSHARES DWA DEVELOPED MKTS	04/06/10	05/11/10	\$ 160.71	\$ 178.26	(\$ 17.55)	
	7	TECHNICAL LEADERS PORT MorganStanley SmithBarney LLC acted as your agent	04/06/10		125.00	138.65	(13.65)	
125000670	1	PROSHARES CREDIT SUISSE 130/30	04/06/10	05/11/10	52.22	54.21	(1.99)	
	3	MorganStanley SmithBarney LLC	04/06/10		156.66	162.62	(5.96)	
	4	acted as your agent in this transaction.	04/07/10		208.89	216.48	(7.59)	
125000680	4	PROSHARES CREDIT SUISSE 130/30	04/07/10	05/11/10	208.89	216.48	(7.59)	
	4	MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/27/10		208.88	216.78	(7.90)	
125000690	13	REVENUESHARES ADR FUND MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/21/09	02/09/10	438.74	409.68		29.06
125000700	3	REVENUESHARES ADR FUND	07/21/09	02/10/10	100.86	94.54		6.32
	11	MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/06/10		369.80	414.11	(44.31)	
125000710	15	REVENUESHARES ADR FUND MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/16/10	07/02/10	460.56	497.84	(37.28)	
125000730	1	SPDR LEHMAN INTL TREASURY BOND	01/06/10	04/07/10	55.41	57.12	(1.71)	
	3	FUND MorganStanley SmithBarney LLC acted as your agent	03/09/10		166.24	168.09	(1.85)	
<b>Total</b>					<b>\$ 12,958.48</b>	<b>\$ 12,501.60</b>	<b>(\$ 388.61)</b>	<b>\$ 845.49</b>

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Ref: 00001708 00052024

J HOWARD COBLE

Account Number [REDACTED]

**Details of Long Term Gain (Loss) 2010**

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000050	16	FIRST TR EXCH TRADED FD FIRST	07/22/09	12/02/10	\$ 561.21	\$ 396.46		\$ 164.75
	6	TR AMEX BIOTECHNOLOGY INDX FD MorganStanley SmithBarney LLC acted as your agent	07/30/09		210.45	180.89		49.56
125000060	3	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDX FD MorganStanley SmithBarney LLC acted as your agent	08/05/09	12/03/10	105.80	80.62		25.18
125000070	11	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDX FD MorganStanley SmithBarney LLC acted as your agent	08/05/09	12/03/10	387.94	295.61		92.33
125000090	2	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD MorganStanley SmithBarney LLC acted as your agent	02/26/09	05/13/10	53.87	28.15		25.72
125000100	3	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD MorganStanley SmithBarney LLC acted as your agent	02/26/09	05/24/10	75.80	42.23		33.57
125000110	9	FIRST TRUST ETF NASDAQ-100 EX-TECH SECTOR INDES FD MorganStanley SmithBarney LLC acted as your agent	12/16/08	01/06/10	161.67	107.68		53.99
125000120	37	FIRST TRUST NASDAQ-100 EX-TECH SECTOR INDEX FD MorganStanley SmithBarney LLC acted as your agent	12/16/08	03/03/10	676.40	442.67		233.73
125000130	4	FIRST TRUST NASDAQ-100 EX-TECH	12/16/08	03/04/10	73.13	47.86		25.27
	17	SECTOR INDEX FD MorganStanley SmithBarney LLC acted as your agent	01/02/09		310.80	212.54		98.26

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Ref: 00001708 00052025

J HOWARD COBLE

Account Number [REDACTED]

**Details of Long Term Gain (Loss) 2010 - continued**

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000170	8	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FD MorganStanley SmithBarney LLC acted as your agent	04/22/09	05/13/10	\$ 140.67	\$ 85.43		\$ 55.24
125000180	5	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FD MorganStanley SmithBarney LLC acted as your agent	04/22/09	05/24/10	81.01	53.39		27.62
125000240	3	FIRST TRUST FINANCIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	04/15/09	05/13/10	41.09	25.06		16.03
125000250	6	FIRST TRUST FINANCIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	04/15/09	05/24/10	75.71	50.11		25.60
125000260	15	FIRST TRUST FINANCIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	04/15/09	06/16/10	194.99	125.29		69.70
125000270	14 1	FIRST TRUST FINANCIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	04/15/09 04/29/09	07/02/10	167.54 11.97	116.93 8.93		50.61 3.04
125000280	1	FIRST TRUST FINANCIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	04/29/09	08/03/10	13.15	8.93		4.22
125000290	32	FIRST TRUST FINANCIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	04/29/09	08/25/10	394.87	285.86		109.01
125000300	32	FIRST TRUST FINANCIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	04/29/09	08/27/10	401.03	285.86		115.17

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J HOWARD COBLE

Account Number [REDACTED]

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000310	13	FIRST TRUST HEALTH CARE ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	12/16/08	01/06/10	\$ 285.34	\$ 177.56		\$ 107.78
125000320	1	FIRST TRUST HEALTH CARE ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	12/16/08	03/09/10	22.90	13.66		9.24
125000330	1	FIRST TRUST HEALTH CARE ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	12/16/08	05/13/10	23.46	13.66		9.80
125000340	32	FIRST TRUST HEALTH CARE ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	12/16/08	05/24/10	710.99	437.08		273.91
125000350	1 8	FIRST TRUST HEALTH CARE ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	12/16/08 01/02/09	08/25/10	21.25 170.04	13.66 115.54		7.59 54.50
125000360	5 4 9	FIRST TRUST HEALTH CARE ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	01/02/09 03/27/09 04/15/09	08/27/10	106.34 85.08 191.42	72.22 56.27 129.74		34.12 28.81 61.68
125000370	16	FIRST TRUST MATERIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	12/24/08	01/06/10	316.72	180.33		136.39
125000380	3	FIRST TRUST MATERIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	12/24/08	05/13/10	63.97	33.81		30.16
125000390	3	FIRST TRUST MATERIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	12/24/08	05/24/10	58.35	33.81		24.54

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Ref: 00001708 00052027

J HOWARD COBLE

Account Number

## Details of Long Term Gain (Loss) 2010 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000500	1	GREENHAVEN CONTINUOUS COMMODITY INDEX FD UNIT BEN INT MorganStanley SmithBarney LLC	03/27/09	08/03/10	\$ 26.49	\$ 21.95		\$ 4.54
125000510	5	GREENHAVEN CONTINUOUS COMMODITY INDEX FD UNIT BEN INT MorganStanley SmithBarney LLC	03/27/09	11/09/10	167.49	109.76		57.73
125000520	4	GREENHAVEN CONTINUOUS COMMODITY INDEX FD UNIT BEN INT MorganStanley SmithBarney LLC	06/18/09	12/31/10	130.99	93.81		37.18
125000530	8	GREENHAVEN CONTINUOUS COMMODITY INDEX FD UNIT BEN INT MorganStanley SmithBarney LLC	06/18/09	12/31/10	261.97	187.61		74.36
125000540	3	GREENHAVEN CONTINUOUS	03/27/09	12/31/10	98.24	65.86		32.38
	1	COMMODITY INDEX FD	04/15/09		32.75	22.33		10.42
	2	UNIT BEN INT	04/29/09		65.49	43.38		22.11
	1	MorganStanley SmithBarney LLC	06/18/09		32.74	23.45		9.29
125000560	2	ISHARES IBOXX \$ INVESTOP	12/16/08	08/03/10	219.71	188.93		30.78
	3	INVESTMENT GRADE CORP FD FD MorganStanley SmithBarney LLC acted as your agent	01/02/09		329.56	303.12		26.44
125000570	1	ISHARES IBOXX \$ INVESTOP INVESTMENT GRADE CORP FD FD MorganStanley SmithBarney LLC acted as your agent	01/02/09	08/24/10	112.79	101.04		11.75
125000580	1	ISHARES IBOXX \$ INVESTOP	01/02/09	10/06/10	113.13	101.04		12.09
	1	INVESTMENT GRADE CORP FD FD	01/23/09		113.13	98.51		14.62
	1	MorganStanley SmithBarney LLC acted as your agent	06/11/09		113.13	98.09		15.04
125000720	4	SPDR LEHMAN INTL TREASURY BOND	12/16/08	04/06/10	222.36	216.28		6.08
	1	FUND MorganStanley SmithBarney LLC acted as your agent	01/23/09		55.59	50.76		4.83

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Ref: 00001708 00052028

J HOWARD COBLE

Account Number [REDACTED]

**Details of Long Term Gain (Loss) 2010** *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000730	2	SPDR LEHMAN INTL TREASURY BOND FUND MorganStanley SmithBarney LLC acted as your agent	01/23/09	04/07/10	\$ 110.83	\$ 101.51		\$ 9.32
<b>Total</b>					<b>\$ 8,401.35</b>	<b>\$ 5,965.27</b>		<b>\$ 2,436.08</b>

**Details of Deposits and Withdrawals 2010**

*This section reflects any deposits or withdrawals made to your account during the year.*

**Withdrawals**

Reference number	Date	Description	Referral number	Amount
220000100	01/15/10	INVESTMENT AND MGMT SERVICES FROM 01/01/10 TO 03/31/10		\$ 78.19
220000300	07/16/10	INVESTMENT AND MGMT SERVICES FROM 07/01/10 TO 09/30/10		77.29
<b>Total</b>				<b>\$ 325.50</b>

Reference number	Date	Description	Referral number	Amount
220000200	04/16/10	INVESTMENT AND MGMT SERVICES FROM 04/01/10 TO 06/30/10		\$ 83.50
220000400	10/15/10	INVESTMENT AND MGMT SERVICES FROM 10/01/10 TO 12/31/10		86.52

As you requested, copies of this document have also been sent to:

ED McDONALD, CHIEF OF STAFF

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UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A

Page 1 of 3

For use by Members, officers, and employees

HAND DELIVERED

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(Office Use Only)  
U.S. HOUSE OF REPRESENTATIVES

Mike McIntyre  
(Full Name)

202-225-2731  
(Daytime Telephone)

Filer  
Status

☒ Member of the U.S.  
House of Representatives

State: NC  
District: 07

☐ Officer Or  
Employee

Employing Office:

Report  
Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall  
be assessed against  
anyone who files  
more than 30 days  
late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

Name Mike McIntyre

Page 2 of 3

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Greater Wilmington Chamber of Commerce Foundation	speech	May 11, 2010	\$250
South Brunswick Islands Rotary Club	speech	June 4, 2010	\$25

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Mike McIntyre

Page 3 of 3

**BLOCK A**
**Asset and/or Income Source**

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the Institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or

**BLOCK B**
**Year-End Value of Asset**

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

**BLOCK C**
**Type of Income**

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

**BLOCK D**
**Amount of Income**

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

**BLOCK E**
**Transaction**

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

SP	BB&T stock; Lumberton, NC	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
	BB&T stock; Wilson, NC	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Checking Account, BB&T; Lumberton	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	IRA (cash), BB&T; Lumberton, NC	\$15,001 - \$50,000	Dividends/None	\$201 - \$1,000	
	IRA (cash), BB&T; Lumberton, NC	\$50,001 - \$100,000	Dividends/None	\$1,001 - \$2,500	
	Wachovia, Winston-Salem, NC merged with Wells Fargo of St. Paul, MN - stock	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 5  
For use by Members, officers, and employees

**HAND DELIVERED**

LAWRENCE WEBB (LARRY) KISSELL  
(Full Name)

202-225-3715  
(Daytime Telephone)

LEGISLATIVE RESOURCE CENTER

2011 MAY 12 AM 11:17

(Office Use Only)

**Filer Status**

☒ Member of the U.S. House of Representatives  
State: NC  
District: 08

☐ Officer Or Employee

Employing Office:

U.S. HOUSE OF REPRESENTATIVES

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**Report Type**

☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule I.</p>	<p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VI.</p>
<p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule II.</p>	<p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VII.</p>
<p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule III.</p>	<p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VIII.</p>
<p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule IV.</p>	<p>IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule IX.</p>
<p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule V.</p>	<p>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</p>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p><b>Trusts--</b></p>	<p>Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "accepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p><b>Exemptions--</b></p>	<p>Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>

**SCHEDULE I - EARNED INCOME**

Name LAWRENCE WEBB (LARRY) KISSELL

Page 2 of 5

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Moore County Schools	Spouse Salary	N/A

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name LAWRENCE WEBB (LARRY) KISSELL

Page 3 of 5

<b>BLOCK A</b>  <b>Asset and/or Income Source</b> Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or	<b>BLOCK B</b>  <b>Year-End Value of Asset</b>  at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	<b>BLOCK C</b>  <b>Type of Income</b>  Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	<b>BLOCK D</b>  <b>Amount of Income</b>  For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	<b>BLOCK E</b>  <b>Transaction</b>  Indicate if asset had purchase (P), sale (S), or exchange (E) exceeding \$1,000 in reporting year.
JT Rental House - Biscoe, NC	\$50,001 - \$100,000	RENT	\$2,501 - \$5,000	
IRA - Wells Fargo Advisors (formerly Wachovia Securities) as follows:				
Evergreen Equity TR Spl Values FD CLA	\$1,001 - \$15,000	None	NONE	
Evergreen Equity TR Diversified Capital Builder Fund CL A	\$1,001 - \$15,000	None	NONE	
Evergreen High Income Fund Class A	\$1,001 - \$15,000	None	NONE	
Evergreen Intl TR Intl Equity FD CL A	\$1,001 - \$15,000	None	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name LAWRENCE WEBB (LARRY) KISSELL

Page 4 of 5

	Evergreen Enhanced S&P 500 Green Fund CL A	\$1,001 - \$15,000	None	NONE	
	Evergreen FDS Emerging Mkts Growth Port CL A	\$1,001 - \$15,000	None	NONE	
	Federated Mid Cap Growth Strategies FD CL A	\$1,001 - \$15,000	None	NONE	
	Renfro Corporation - Fruit of the Loom Corp. Pension Plan (not self directed)	\$1,001 - \$15,000	None	NONE	



**SCHEDULE V - LIABILITIES**

Name LAWRENCE WEBB (LARRY) KISSELL

Page 5 of 5

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or this child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeds \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Fidelity Bank, Biscoe, NC	June 2010	Mortgage on Biscoe, NC rental property	\$15,001 - \$50,000
JT	Chase Bank	2009	Credit Card	\$10,001 - \$15,000

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

Form A  
 For use by Members, officers, and employees

Page 1 of 6

LEGISLATIVE RESOURCE CENTER

2011 MAY 16 PM 3:30

OFFICE OF THE CLERK  
 U.S. HOUSE OF REPRESENTATIVES

Name: Sue Myrick

Daytime Telephone: 202 225-1976

**HAND DELIVERED**

(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>NC</u>	<input type="checkbox"/> Officer or Employee	Employing Office:
		District: <u>09</u>		
Report Type	<input checked="" type="checkbox"/> Annual (May 16, 2011)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS**

<b>TRUSTS</b> —Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name

Sue Myrick

Page

2 of 6

## BLOCK A

### Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

**Exclude:** Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

SP, DC, JT  
Examples: SP Mega Corp. Stock  
Simon & Schuster  
1st Bank of Paducah, KY Accounts

## BLOCK B

### Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

A	B	C	D	E	F	G	H	I	J	K	L
None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000

## BLOCK C

### Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if the asset generated no income during the reporting period.

DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)
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## BLOCK D

### Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if no income was earned or generated.

I	II	III	IV	V	VI	VII	VIII	IX	X	XI
None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000

## BLOCK E

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows:  
(S) (partial)  
See below for example.

P,  
S,  
E  
S (partial)

JT Activa Mutual Fund-Value Fund  
JT Edward Jones Franklin Federal Tax Free  
JT Edward Jones Lord Abbott Short Duration Income Fund  
JT Edward Jones MFS Municipal Mutual Fund  
Northwest Mutual Life Insurance Company, 90 Life (traditional whole life) plan

For additional assets and unearned income, use next page.

## Continuation Sheet (if needed)

Name

She Myrick

Page 3 of 6[illegible]

# **SCHEDULE V— LIABILITIES**

Name

Sue Myrick

Page 4 of 6

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor		Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability									
					A	B	C	D	E	F	G	H	I	J
					\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000
	Example:	First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X						
JT	NW Mutual Life Insurance		June 2008	Loan			X							
	[Note: Liability no longer held as of August 2010]													

# **SCHEDULE VI— GIFTS**

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year.

**Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold.

**Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	\$345

Use additional sheets if more space is required.

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

**Exclude:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

[illegible]

## SCHEDULE VIII—POSITIONS

Name

Sue Myrick

Page 6 of 6

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position

Name of Organization

Board of Advisors

Citizens Defense Fund

## SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date

Parties To

Terms of Agreement

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 8  
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

2011 MAY 16 PM 2:40

Patrick Timothy McHenry  
(Full Name)

202-225-2576  
(Daytime Telephone)

**HAND DELIVERED**

(Office Use Only)

**Filer Status**

☒ Member of the U.S. House of Representative  
State: NC  
District: 10

☐ Officer Or Employee  
Employing Office:

**Report Type**

☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule I.</p>	<p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  If yes, complete and attach Schedule VI.</p>
<p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  If yes, complete and attach Schedule II.</p>	<p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule VII.</p>
<p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule III.</p>	<p>VIII. Did you hold any reportable position on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule VIII.</p>
<p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule IV.</p>	<p>IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  If yes, complete and attach Schedule IX.</p>
<p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes, complete and attach Schedule V.</p>	<p><b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b></p>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p><b>Trusts--</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p><b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>



**SCHEDULE I - EARNED INCOME**

Name Patrick Timothy McHenry

Page 2 of 8

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Government Accountability Office	Spouse Salary	N/A
The Brattle Group	Spouse Salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick Timothy McHenry

Page 3 of 8

## BLOCK A

### Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stock and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or

## BLOCK B

### Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

## BLOCK C

### Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

## BLOCK D

### Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

## BLOCK E

### Transaction

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

McHenry-Putman Partnership  
real estate (holdings Union Rd.  
And 8th Ave., below

see below

5524 Union Rd., Gastonia NC  
(10% ownership)

\$15,001 -  
\$50,000

RENT

\$5,001 - \$15,000

109 W. 8th Ave., Gastonia NC  
(10% ownership)

\$15,001 -  
\$50,000

RENT

\$5,001 - \$15,000

McHenry-McHenry  
partnership - real estate  
holdings Robinson Rd., see  
below)

see below

215 Robinson Rd., Gastonia  
NC (50% ownership)

\$100,001 -  
\$250,000

RENT

\$15,001 - \$50,000

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Patrick Timothy McHenry

Page 4 of 8

	Alliance Bank and Trust checking	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	ING Direct Savings Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	SCANA common stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Personal loan to McHenry for Congress campaign	\$50,001 - \$100,000	INTEREST	NONE	
	806 Requa Road, Cherryville NC (rental property)	\$100,001 - \$250,000	RENT	\$2,501 - \$5,000	
	Locust-Highway 200 LLC, Locust NC - real estate partnership (.294% ownership)	\$15,001 - \$50,000	None/None	NONE	
	Alliance Bank and Trust stock	\$1,001 - \$15,000	DIVIDENDS	NONE	
	Congressional Federal Credit Union checking	\$15,001 - \$50,000	NONE	NONE	
	Congressional Federal Credit Union savings	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	IRA Prime Fund Daily Money Class	\$1,001 - \$15,000	NONE/None	NONE	
SP	Morgan Stanley Smith Barney MMC Stock Purchase Plan	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Vanguard Target Retirement 2045 Fund	\$15,001 - \$50,000	None/None	NONE	P

## SCHEDULE IV - TRANSACTIONS

Name Patrick Timothy McHenry

Page 5 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Vanguard Target Retirement 2045 Fund	P	N/A	monthly	\$1,001 - \$15,000

**SCHEDULE V - LIABILITIES**

Name Patrick Timothy McHenry

Page 6 of 8

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Mr. & Mrs. George LeCroy, Hendersonville NC (previous owners of property)	March 2003	Mortgage on 215 Robinson Rd., Gastonia NC	\$100,001 - \$250,000
	Bank of America	January 2010	Mortgage on 806 Requa Rd., Cherryville NC	\$50,001 - \$100,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Patrick Timothy McHenry

Page 7 of 8

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Heritage Foundation	Jan. 14-16	DC-Charlottesville VA-DC	Y	Y	Y	None

## SCHEDULE VIII - POSITIONS

Name Patrick Timothy McHenry

Page 8 of 8

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	McHenry-McHenry Partnership
Partner	McHenry-Putman Partnership
Sole Proprietor	McHenry Real Estate (no assets)

<b>UNITED STATES HOUSE OF REPRESENTATIVES</b> <b>CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT</b>	<b>FORM A</b> <span style="float: right;">Page 1 of 12</span> For use by Members, officers, and employees
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LEGISLATIVE RESOURCE CENTER

2011 MAY 13 AM 11:36

MC

MELVIN L. WATT

202.225.1510

(Full Name)

(Daytime Telephone)

**HAND DELIVERED**

(Office Use Only)

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>NC</u> District: <u>12</u>	<input type="checkbox"/> Officer Or Employee	Employing Office: _____	<b>A \$200 penalty shall be assessed against anyone who files more than 30 days late.</b>
<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination		

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>I.</b> Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I.	<b>VI.</b> Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.
<b>II.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.	<b>VII.</b> Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VII.
<b>III.</b> Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.	<b>VIII.</b> Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII.
<b>IV.</b> Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV.	<b>IX.</b> Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.
<b>V.</b> Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule V.	<b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>Trusts--</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "accepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>



**SCHEDULE I - EARNED INCOME**

Name MELVIN L. WATT

Page 2 of 12

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
UNC CHARLOTTE	SPOUSE'S SALARY	N/A

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name MELVIN L. WATT

Page 3 of 12

**BLOCK A**
**Asset and/or Income Source**

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or

**BLOCK B**
**Year-End Value of Asset**

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

**BLOCK C**
**Type of Income**

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

**BLOCK D**
**Amount of Income**

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

**BLOCK E**
**Transaction**

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

	AGIC INTL & PERM STRATEGY FD	\$15,001 - \$50,000	CAPITAL GAINS	\$1,001 - \$2,500	E
	AMERICAN TOWER CORP	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	APPLE COMPUTER INC***	\$50,001 - \$100,000	CAPITAL GAINS	\$15,001 - \$50,000	
	ARIEL APPRECIATION FUND - IRA (NOT SELF DIRECTED)	\$1,001 - \$15,000	INTEREST/CAPITAL GAINS	\$1,001 - \$2,500	
SP	ARIEL APPRECIATION FUND - IRA (NOT SELF DIRECTED)	\$1,001 - \$15,000	CAPITAL GAINS	\$1,001 - \$2,500	
SP	ARTIO INTERNATIONAL EQUITY FUND - IRA	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name MELVIN L. WATT

Page 4 of 12

	BANK OF AMERICA CORP	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	BB & T CORP	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	BLACKROCK MUNI HOLDINGS INSURED FD	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	BRANDYWINE BLUE FD - IRA	None	DIVIDENDS/CAPITAL GAINS	NONE	S
	CATERPILLER	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000	
	COMVERSE TECHNOLOGY INC	\$1 - \$1,000	None	NONE	
SP	DAVIS NEW YORK VENTURE FUND - IRA	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	
	DEUTSCHE BANK 6.625% PFD	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	DUKE ENERGY	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	EATON VANCE TAX MANAGED	\$1,001 - \$15,000	CAPITAL GAINS	\$1,001 - \$2,500	
	EATON WORLDWIDE HEALTHSCIENCES ***	\$1,001 - \$15,000	NONE	NONE	
	ENERGY CONVERSION DEV, INC	None	None/CAPITAL GAINS	NONE	S
	EVERGREEN INVESTMENTS - IRA (NOT SELF DIRECTED)	None	None	NONE	E
SP	EVERGREEN INVESTMENTS - IRA (NOT SELF DIRECTED)	None	None	NONE	E

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name MELVIN L. WATT

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	FPL GROUP, INC ***	None	None	NONE	E
	HEWLETT-PACKARD COMPANY	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
	HIGH POINT NC 3.5%	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	ING GROUP 7.375 PFD	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
	INTERNATIONAL BUSINESS MACHINE CORP ***	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	
	LABORATORY CORP OF AMERICA	None	CAPITAL GAINS	\$201 - \$1,000	S
	LABORATORY OF AMERICA	None	None	NONE	S
	LOT - 1.63 ACRES BURKE COUNTY, NORTH CAROLINA	\$15,001 - \$50,000	None	NONE	
	LOT 515/517 N. POPLAR ST., CHARLOTTE, NC (1/2 INTEREST)	\$50,001 - \$100,000	NONE	NONE	
	MARKET VECTOR GOLD MINERS ****	\$1,001 - \$15,000	CAPITAL GAINS/DIVIDENDS	\$1,001 - \$2,500	P
	MECHANICS & FARMERS BANK	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	MICROCHIP TECHNOLOGY, INC	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	N. C, STATE EMPLOYEES RETIREMENT SYSTEM (NOT SELF DIRECTED)	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name MELVIN L. WATT

Page 6 of 12

	NETFLIX COM ***	None	CAPITAL GAINS	\$201 - \$1,000	S
	NEXTERA ENERGY, INC ***	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	E
	NICHOLAS-APPLEGATE INT'L & PREMIUM STRATEGY FD	None	None	NONE	E
	NUCOR CORP ***	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	NUVEEN EQUITY PREMIUM INCOME FD	\$15,001 - \$50,000	CAPITAL GAINS	\$1,001 - \$2,500	
	PARK PLAZA OFFICE LP ***	\$50,001 - \$100,000	CAPITAL GAINS	\$2,501 - \$5,000	
	PROCTOR & GAMBLE	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	RANIER INVT MGMT MID/CAP EQUITY ***	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	
SP	RYDEX SECTOR ROTATION FUND - IRA	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	
	RYDEX SER TR ENERGY SVCS FD	None	CAPITAL GAINS	\$201 - \$1,000	S
	SPECTRA ENERGY CORP	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	
SP	SR HOUSING PROP TRUST REIT	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	P
	SUN MICROSYSTEMS ***	None	CAPITAL GAINS	NONE	S
	TARGACEPT ***	None	None/CAPITAL GAINS	NONE	S

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name MELVIN L. WATT

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	TRANSAMERICA - IRA (NOT SELF DIRECTED)	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	WELLS FARGO	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	WELLS FARGO - IRA	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
	WELLS FARGO - MONEY MARKET ACCOUNT	\$15,001 - \$50,000	INTEREST/DIVID ENDS	\$1 - \$200	
	WELLS FARGO ***	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
	WELLS FARGO 8% PFD	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	WELLS FARGO ADVANTAGE FUNDS-IRA	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	E
	WELLS FARGO ADVANTAGE FUNDS-IRA	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	E
	WESTSIDE 2000, LTD (LAND PARTNERSHIP)	\$15,001 - \$50,000	NONE	NONE	

# SCHEDULE IV - TRANSACTIONS

Name MELVIN L. WATT

Page 8 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
	AGIC INTL & PERM STRATEGY FD	E	N/A	01/01/10	\$0
	AMERICAN TOWER CORP	P	N/A	03/05/10	\$1,001 - \$15,000
SP	BRANDYWINE BLUE FD - IRA	S	No	01/29/10	\$1,001 - \$15,000
	ENERGY CONVERSION DEV, INC	S	Yes	04/22/10	\$1,001 - \$15,000
	EVERGREEN INVESTMENTS-IRA	E	N/A	01/01/10	\$0
	EVERGREEN INVESTMENTS-IRA	E	N/A	01/01/10	\$0
	FPL GROUP, INC ***	E	N/A	01/01/10	\$0
	HEWLWTT-PACKARD COMPANY	S	Yes	05/06/10	\$1,001 - \$15,000
	LABORATORY CORP OF AMERICA	S	Yes	01/15/10	\$1,001 - \$15,000
	LABORATORY OF AMERICA	S	No	01/15/10	\$0
	MARKET VECTOR GOLD MINERS ****	P	N/A	03/05/10	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name MELVIN L. WATT

Page 9 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	MICROCHIP TECHNOLOGY, INC	P	N/A	03/805/10	\$1,001 - \$15,000
	NETFLIX COM ***	S	No	01/15/10	\$1,001 - \$15,000
	NEXTERA ENERGY, INC ***	E	N/A	01/01/10	\$0
	NICHOLAS APPLGATE INT'L & PERM STRATEGY FD	P	N/A	01/28/10	\$0
	RYDEX SER TR ENERGY SVCS FD	S	Yes	04/22/10	\$1,001 - \$15,000
SP	SR HOUSING PROP TRUST	P	N/A	01/29/10	\$1,001 - \$15,000
	SUN MICROSYSTEMS ***	S	No	01/28/10	\$1,001 - \$15,000
	TARACEPT ***	S	No	03/22/10	\$1,001 - \$15,000
SP	WELLS FARGO ADVANTAGE FUNDS-IRA	E	N/A	01/01/10	\$0
	WELLS FARGO ADVANTAGE FUNDS-IRA	E	N/A	01/01/10	\$0



**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name MELVIN L. WATT

Page 10 of 12

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
CBCF MERVIN L. & STEPHANIE TUBBS JONES MEMORIAL GOLF & TENNIS SCHOLARSHIP CLASSIC	JUNE 12- 14	WASHINGTON, DC - WILLIAMSBURG, VA. - WASHINGTON, DC	Y	Y	Y	NONE
CBC INSTITUTE POLICY CONFERENCE BOARD OF DIRECTORS MEETING	AUG 12-13	CHARLOTTE, NC - TUNICA, MS - CHARLOTTE, NC	Y	Y	N	NONE
ASPEN INSTITUTE CONGRESSIONAL CONFERENCE ON TRANSFORMING AMERICAS EDUCATION	AUG 16-21	CHARLOTTE, NC - BRITISH COLUMBIA, CANADA - CHARLOTTE, NC	Y	Y	Y	NONE

SCHEDULE VIII - POSITIONS

Name MELVIN L. WATT

Page 11 of 12

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
DIRECTOR	WESTSIDE 2000, LTD., CHARLOTTE
DIRECTOR	CONGRESSIONAL BLACK CAUCUS POLITICAL EDUCATION AND LEADERSHIP INSTITUTE
DIRECTOR	CONGRESSIONAL BLACK CAUCUS FOUNDATION

**FOOTNOTES**

Name MELVIN L. WATT

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	NICHOLAS APPLGATE NAME CHANGE TO AGIC INTL & PERM STRATEGY FD	
2		EVERGREEN INVESTMENTS-IRA NAME CHANGED TO WELLS FARGO ADVANTAGE FUNDS - IRA	
3		FPL GROUP, INC NAME CHANGED TO NEXTERA ENERGY, INC	

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 5  
 For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER  
 2011 MAY 13 PM 3:40  
 OFFICE OF THE CLERK  
 U.S. HOUSE OF REPRESENTATIVES

Ralph Bradley Miller  
 (Full Name)

2022253032  
 (Daytime Telephone)

**HAND DELIVERED**

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>NC</u> District: <u>13</u>	<input type="checkbox"/> Officer Or Employee	Employing Office: _____	<b>A \$200 penalty shall be assessed against anyone who files more than 30 days late.</b>
	<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	

**PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS**

<b>I.</b> Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I.	<b>VI.</b> Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.
<b>II.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.	<b>VII.</b> Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII.
<b>III.</b> Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.	<b>VIII.</b> Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VIII.
<b>IV.</b> Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IV.	<b>IX.</b> Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.
<b>V.</b> Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule V.	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>Trusts--</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name Ralph Bradley Miller

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honorarie; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
MetLife Insurance Co. Of Connecticut	Attorney Fee from structured settlement	\$1,650

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Ralph Bradley Miller

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**BLOCK A**
**Asset and/or Income Source**

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during this year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

**BLOCK B**
**Year-End Value of Asset**

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

**BLOCK C**
**Type of Income**

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

**BLOCK D**
**Amount of Income**

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

**BLOCK E**
**Transaction**

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

	Morgan Stanley Smith Barney (SEP)	\$15,001 - \$50,000			
	1. Dreyfus Liquid Assets Inc.	\$1,001 - \$15,000	None	NONE	
	2. Adobe Systems, Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	3. Apple Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
	4. Automatic Data Processing Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	5. Bristol Myers Squibb Co.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Ralph Bradley Miller

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	6. British American Tobacco PLC	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	7. Carnival Corp.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	8. Cognizant Tech Solutions	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
	9. Enterprise Prods Partners	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	10. General Mills Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	11. Halliburton Co. Holdings Co.	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
	12. Hewlett Packard Co.	\$1,001 - \$15,000	None	NONE	
	13. Honeywell Intl. Co.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	14. JP Morgan Chase & Co.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	15. Kimberly Clark Corp.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	16. Macy's Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	17. Merck Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	18. Microsoft Corp.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	19. Monsanto Co.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Ralph Bradley Miller

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	20. Newmont Mining Corp.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	21. Pepsico Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	22. Raytheon Company	\$1,001 - \$15,000	None	NONE	
	23. Sempra Energy	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	24. Visa Inc.	\$1,001 - \$15,000	None	NONE	
	25. Western Union Company	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	26. Ishares Nasdaq Biotechnology Index Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Legislative Retirement System (North Carolina)	\$1,001 - \$15,000	None	NONE	